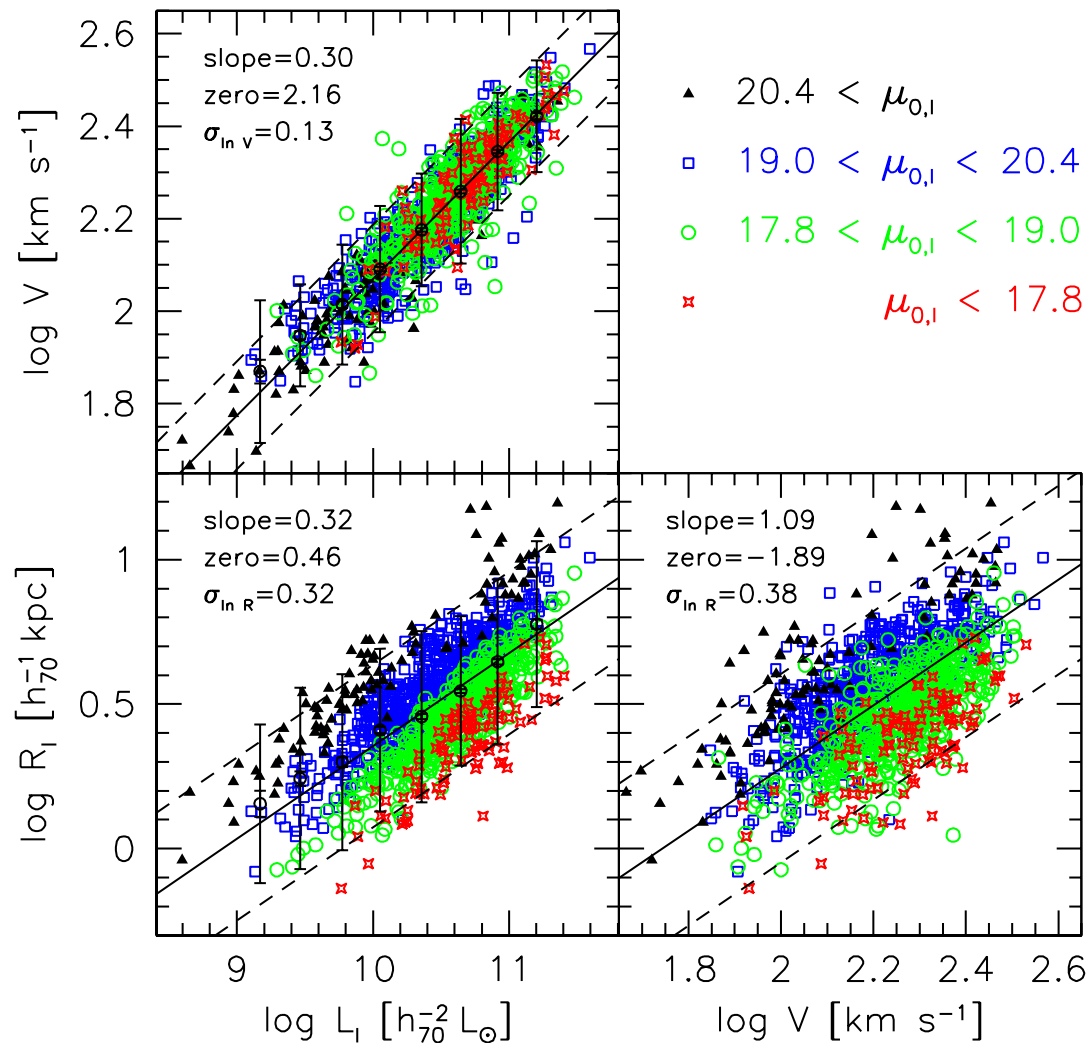


# The Formation of Disk Galaxies



Frank van den Bosch, Aaron Dutton, Avishai Dekel & Stephane Courteau  
astro-ph/0604553

# Disk Scaling Relations



Sample of  $\sim 1300$  galaxies with  $\text{H}\alpha$  RCs (Courteau et al. 2006)

Rotation velocities measured at  $2.2R_I$

Uniform inclination and extinction corrections

# The Standard Picture

Disk galaxies are systems in **centrifugal equilibrium**

Structure of disks is governed by **angular momentum** content

## The Three Pillars of Disk Formation

- Angular momentum originates from **cosmological torques**
- Baryons and Dark Matter acquire **identical angular momentum distributions**.
- During cooling, gas **conserves** its specific angular momentum

Gas settles in disk in centrifugal equilibrium

$$\Sigma_{\text{disk}}(R) \iff M_{\text{bar}}(j_{\text{bar}}) \iff M_{\text{dm}}(j_{\text{dm}})$$

It is assumed that DM halo **contracts** in response to formation of disk

# Model Description

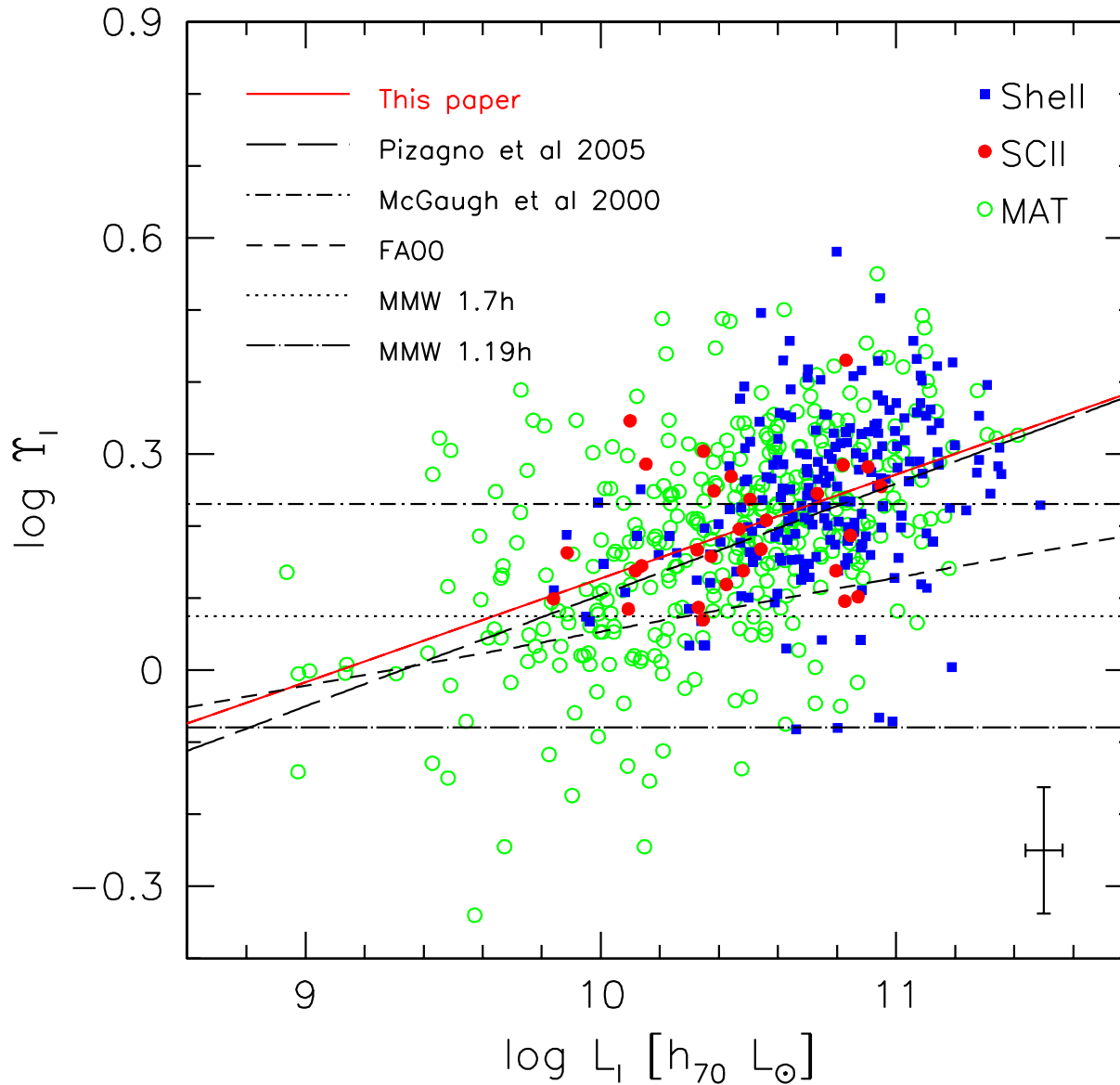
- Exponential disk in **NFW** dark matter halo (**Mo, Mao & White 1998**)  
Halo concentrations modelled as  $c(M) = \eta c_{\text{bul}}(M)$
- Modified Adiabatic contraction:  $r_f = \Gamma^\nu r_i$   
**Standard AC:  $\nu = 1$ , No AC:  $\nu = 0$ , Expansion:  $\nu < 0$**
- Disk mass fraction:  $m_{\text{gal}} \equiv \frac{M_{\text{gal}}}{M_{\text{vir}}} = m_{\text{gal},0} \left( \frac{M_{\text{vir}}}{10^{11.5} h^{-1} M_\odot} \right)^\alpha$
- Disk is split in **stars** and **cold gas** using star formation threshold density  
Material with  $\Sigma(R) > \Sigma_{\text{crit}}(R)$  is assumed to be in stars
- Bulge formation based on disk stability (**van den Bosch 1998**)
- Stellar mass-to-light ratios obtained from colors (**Bell et al. 2003**)

$$\log \frac{\Upsilon}{[(M/L)_\odot]} = 0.172 + 0.144 \log \frac{L_I}{[10^{10.3} h_{70}^2 L_\odot]} + \Delta_{\text{IMF}}$$

e.g., **Diet-Salpeter:**  $\Delta_{\text{IMF}} = 0$     **Kroupa:**  $\Delta_{\text{IMF}} = -0.20$

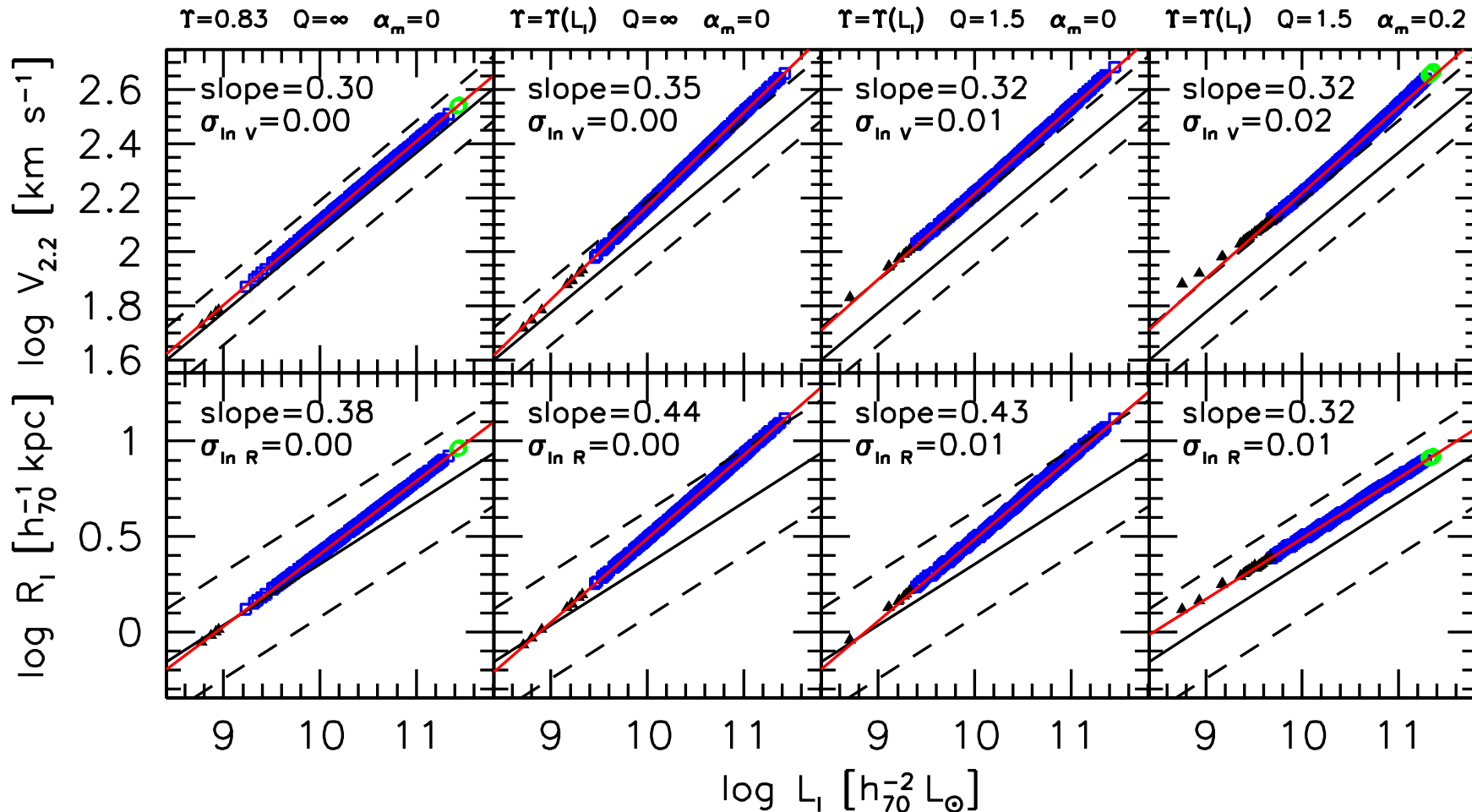
Free parameters:  $\bar{\lambda}_{\text{gal}}$ ,  $\eta$ ,  $\nu$ ,  $\bar{m}_{\text{gal},0}$ ,  $\alpha$ ,  $\Delta_{\text{IMF}}$

# Stellar Mass-to-Light Ratios



The  $\Upsilon_I$  of **MMW** were very low, and did not consider  $L$ -dependence.

# Models without Scatter



- Realistic models predict  $VL$  zero-point that is  $2\sigma$  too high.
- When  $\bar{\lambda}_{\text{gal}} = \bar{\lambda}_{\text{DM}} = 0.042$  disks are also too large.
- Taking account of  $\Sigma_{\text{crit}}$  yields  $VL$  slope in agreement with data.
- Slope of  $RL$  relation requires  $\alpha_m \simeq 0.2$

# Zero-Point Solutions

There are a number of different ways to fix the  $VL$  zero-point problem:

- **Lower stellar mass-to-light ratios**

Required  $\Delta_{\text{IMF}} \simeq -0.5$

Most 'top-heavy', realistic IMF has  $\Delta_{\text{IMF}} \simeq -0.2$  (Kroupa IMF)

- **Lower halo concentrations**

Required  $\eta \simeq 0.4$

WMAP3 cosmology yields  $\eta \simeq 0.75$

- **Modify Adiabatic Contraction**

Required  $\nu \ll 0$  (significant expansion)

When  $\eta = 0.75$  and  $\Delta_{\text{IMF}} = -0.15$  we 'only' require  $\nu = 0$

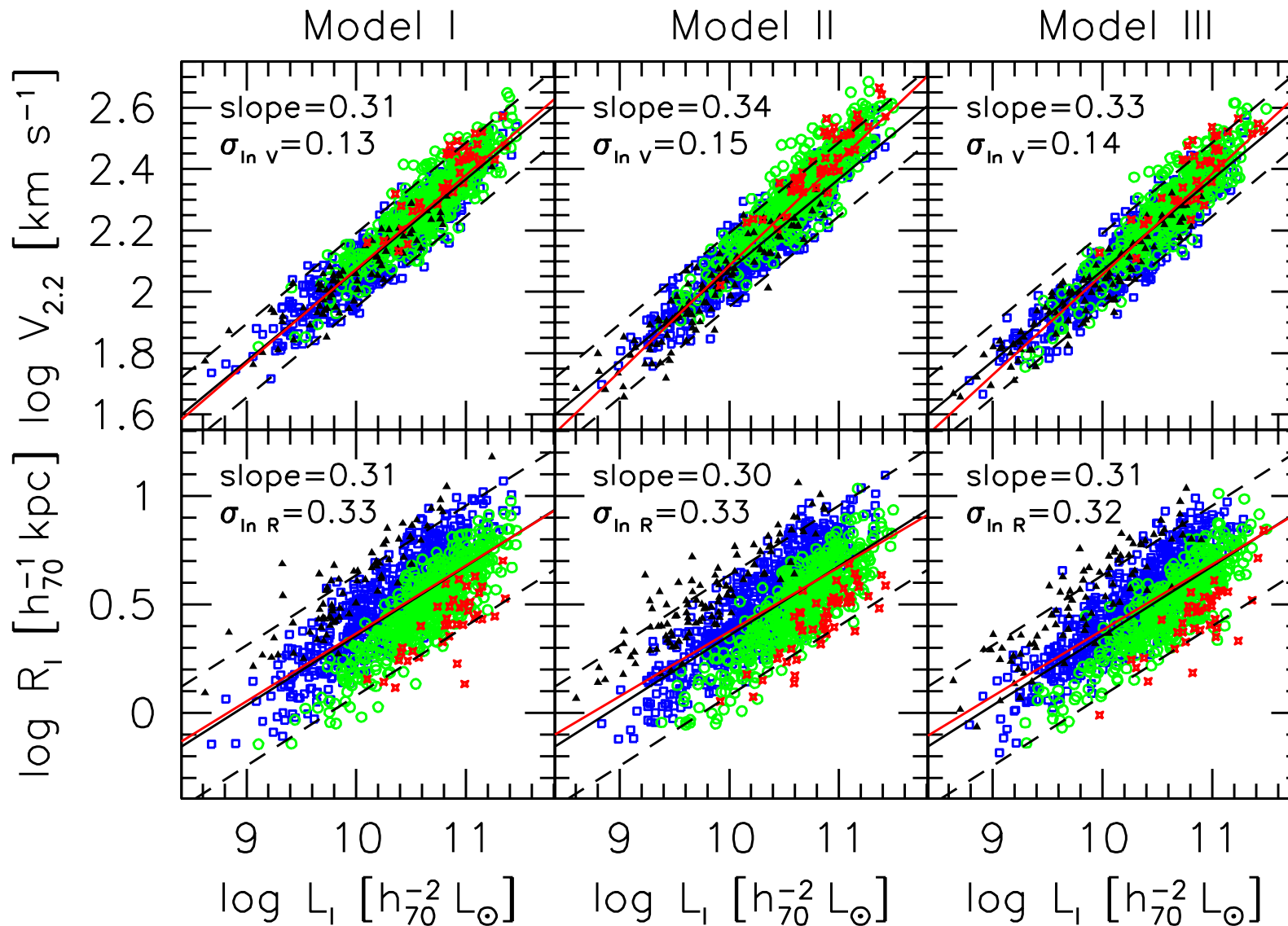
We now consider these three options including scatter

**Model I:**  $\eta = 0.8$  &  $\nu = 0.8 \Rightarrow \Delta_{\text{IMF}} = -0.4$

**Model II:**  $\Delta_{\text{IMF}} = -0.2 \Rightarrow \eta = 0.5$

**Model III:**  $\nu = 0.0$  &  $\eta = 0.8 \Rightarrow \Delta_{\text{IMF}} = -0.2$

# Three Models that seem to work

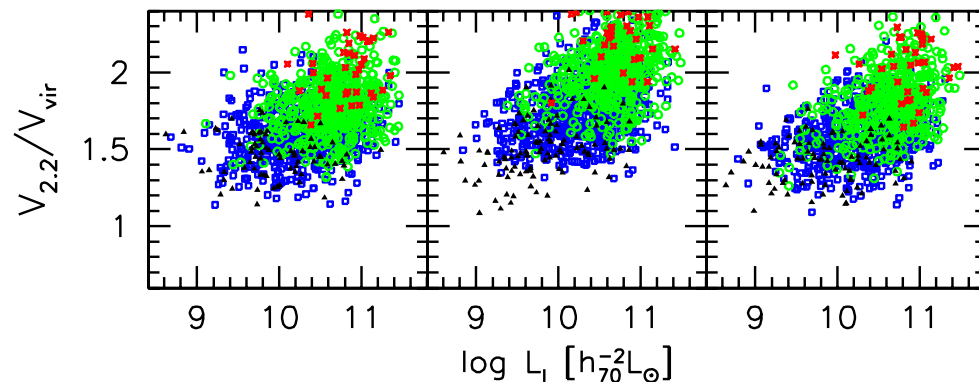
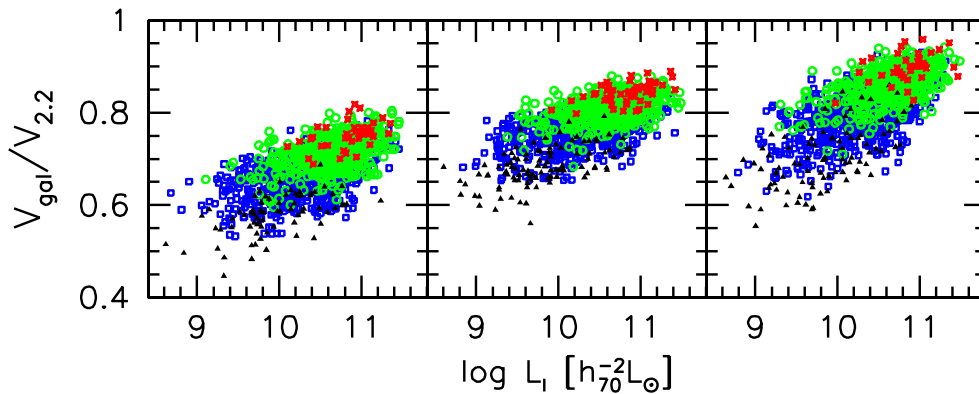
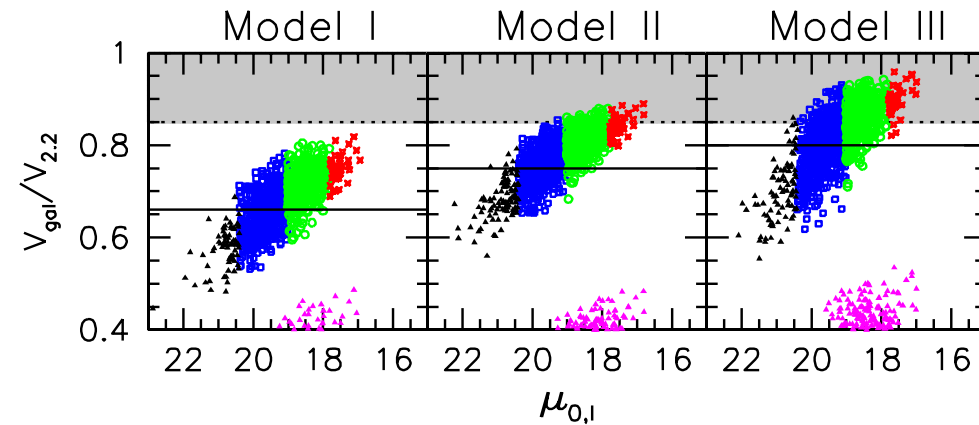


Observed scatter in  $RL$  relation requires  $\sigma_{\ln \lambda} \lesssim 0.25$

**NOTE:** predicted scatter in halo spin parameters:  $\sigma_{\ln \lambda} \simeq 0.5$



# Velocity Ratios



$V_{2.2}$ : Circular velocity at  $2.2R_I$ .

$V_{\text{gal}}$ : Contribution of disk to  $V_{2.2}$ .

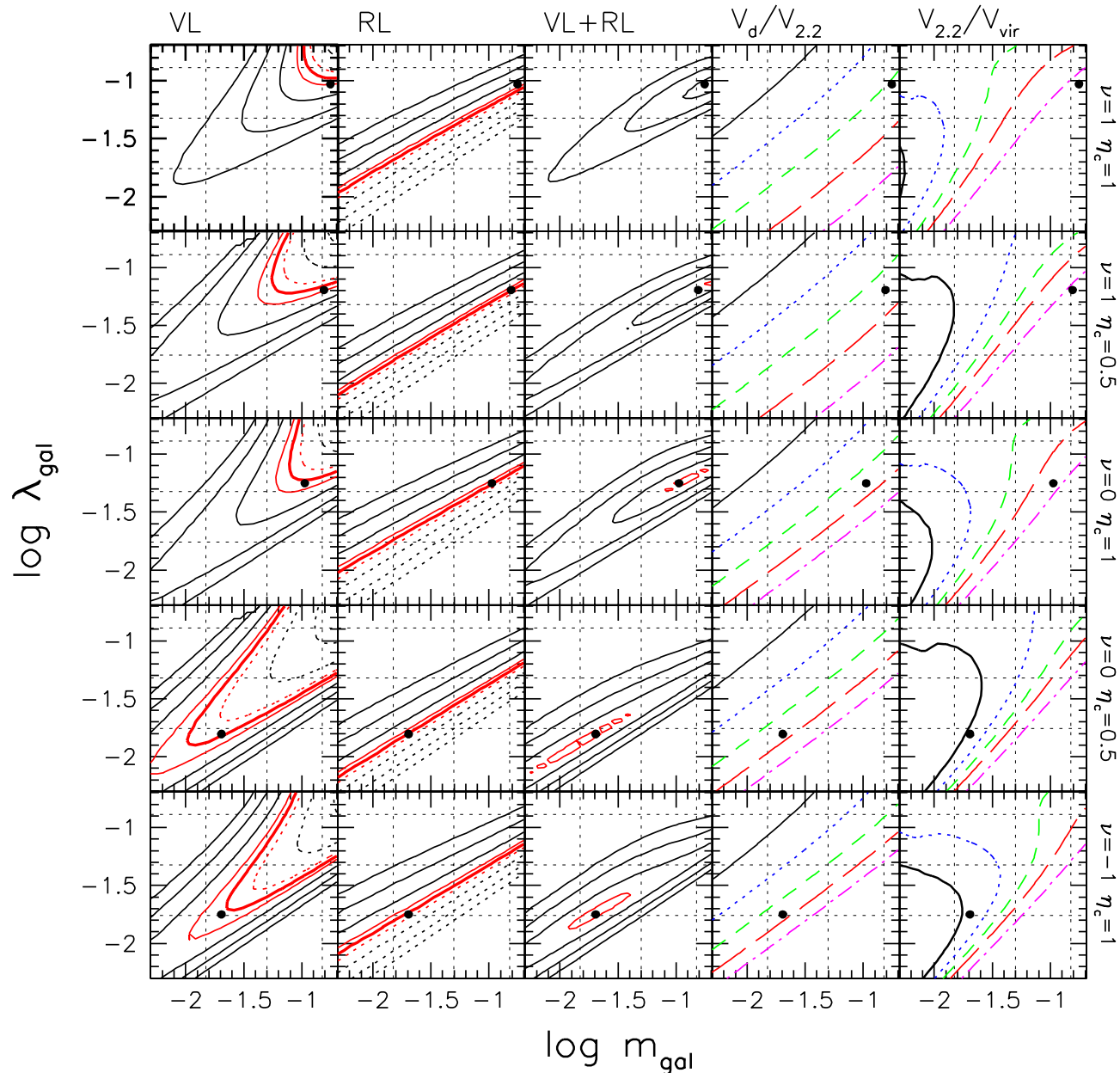
$V_{\text{vir}}$ : Virial velocity of the halo.

Depending on **model**, on  $L_I$ , and on  $\mu_{0,I}$  disks are **maximal** or not.

Note that  $\langle V_{2.2}/V_{\text{vir}} \rangle \simeq 1.7$ .

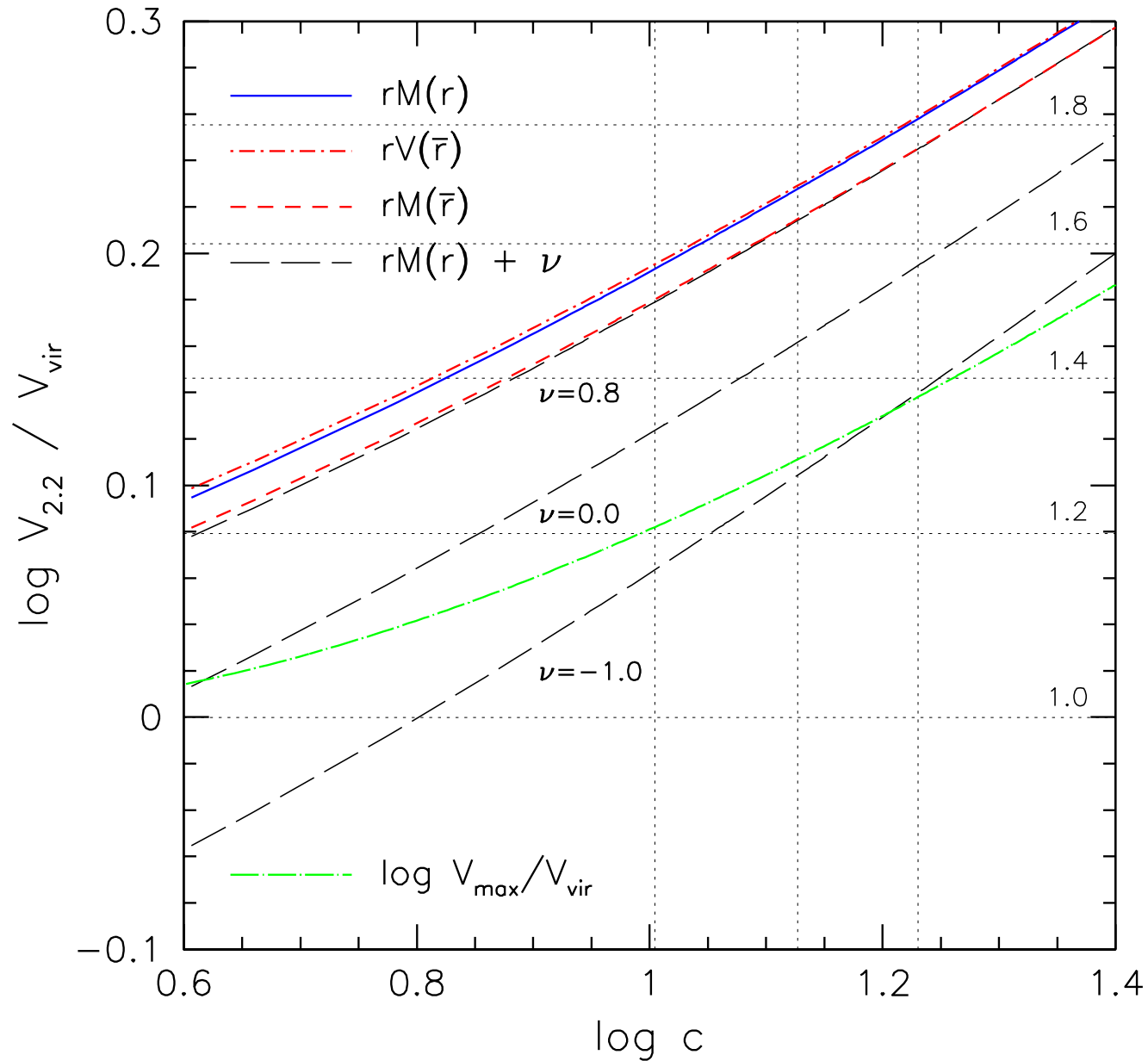
This implies that these models can not simultaneously match the **luminosity function** of disks.

# Zero-Point Constraints



Simultaneously matching LF and the VL and RL zero-points requires  $\nu \lesssim 0$

# AC or no AC, that's the question...



Assuming that  $V_{\text{rot}} = V_{\text{max}}$  is equivalent to assuming  $\nu < 0$  !!

# CONCLUSIONS

Simultaneously fitting **LF** and the **VL** and **RL** zero-points requires:

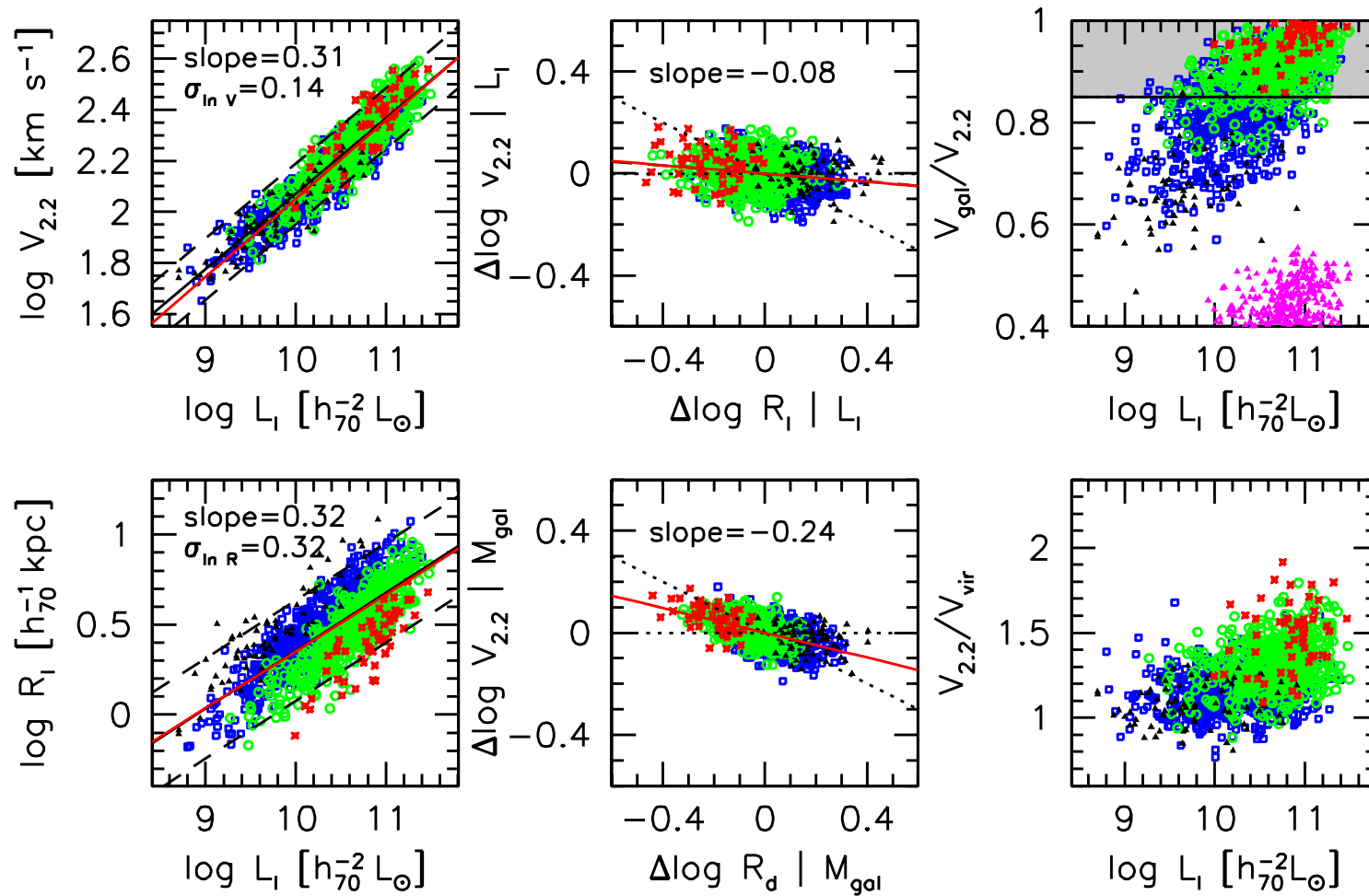
- Halo **expands** rather than **contracts**
    - ⇒ Disks form out of **merging clumps**, not out of smooth cooling flows.
- NOTE: Assuming  $V_{\text{rot}} = V_{\text{max}}$  is equivalent to assuming **halo expansion**
- Disk **mass fractions** with  $m_{\text{gal},0} \ll f_{\text{bar}}$  and  $\alpha \simeq 0.2$ 
    - ⇒ In MW sized halo, only  $\sim 20\%$  of baryons end up in disk.
  - Galaxy **spin parameters**:  $\bar{\lambda}_{\text{gal}} < \bar{\lambda}_{\text{DM}}$  and with about half the scatter.
    - ⇒ Disks form only in sub-set of haloes with quiescent merger history.

# Galaxy Formation Discussion Points

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- **TF Zeropoint & Galaxy LF**
- **Overcooling & AGN feedback**
- **Angular Momentum Problems & Disk Rotation Curves**
- **Downsizing & SF histories**
- **The Baryon Budget; What is the role of the WHIM?**

# The Model That Works



Model fits **slopes, zero points** and **scatter** of  $VL$  and  $RL$  relations

Model fits surface brightness independence of  $VL$  relation

Model is consistent with **LF of disks**:  $\langle V_{2.2}/V_{\text{vir}} \rangle \simeq 1.2$

# The Spin Parameter

Tidal Torque Theory (second-order perturbation theory):

$$\mathbf{J}(t) = \int_{\gamma} \rho(\mathbf{r}, t) [\mathbf{r}(t) - \mathbf{r}_{\text{cm}}(t)] \times [\mathbf{v}(t) - \mathbf{v}_{\text{cm}}(t)] d^3\mathbf{r}$$

conversion to comoving variables yields:

$$\mathbf{J}(t) \propto a^2(t) \bar{\rho}_0 \int_{\gamma} [1 + \delta(\mathbf{x}, t)] (\mathbf{x} - \bar{\mathbf{x}}_{\text{cm}}) \times \dot{\mathbf{x}} d^3\mathbf{x}$$

It is convenient to express the specific angular momentum,

$\dot{j}_{\text{vir}} = J_{\text{vir}}/M_{\text{vir}}$ , in terms of the dimensionless **spin parameter**

$$\lambda \propto \frac{j_{\text{vir}}}{R_{\text{vir}} V_{\text{vir}}}$$

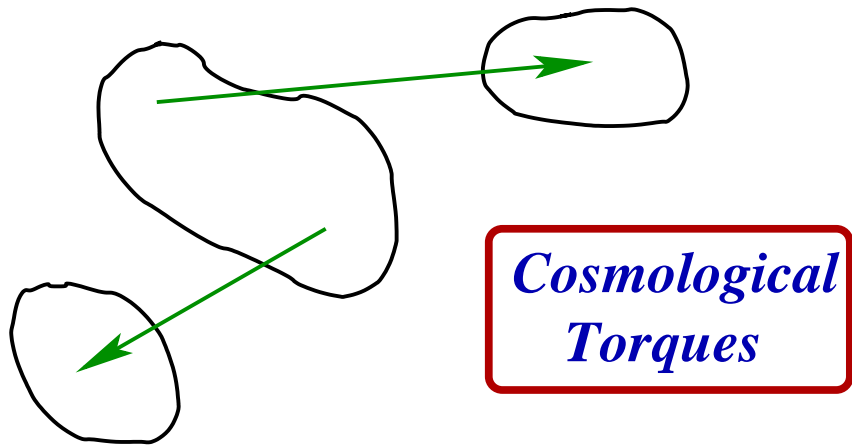
Numerical simulations have shown that  $\langle \lambda \rangle \simeq 0.04$

Using that  $j_{\text{d}} \propto R_{\text{d}} V_{\text{rot}}$ , and assuming that  $V_{\text{rot}} \propto V_{\text{vir}}$ , we see that

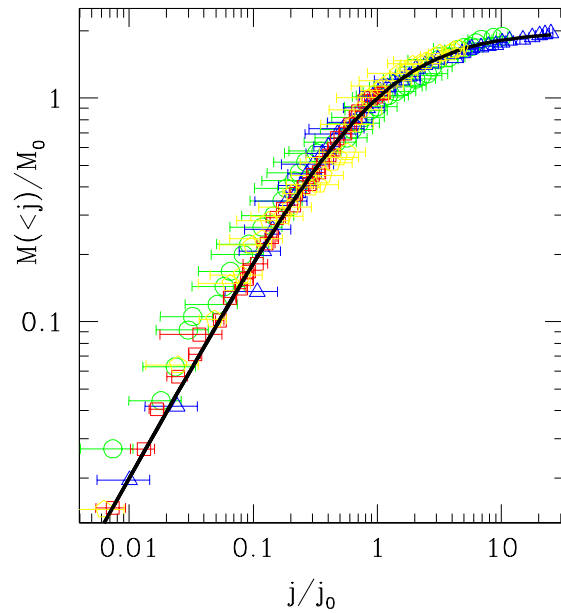
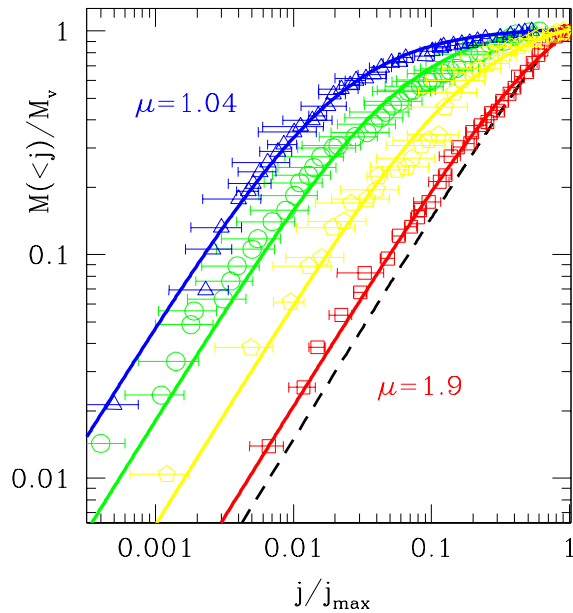
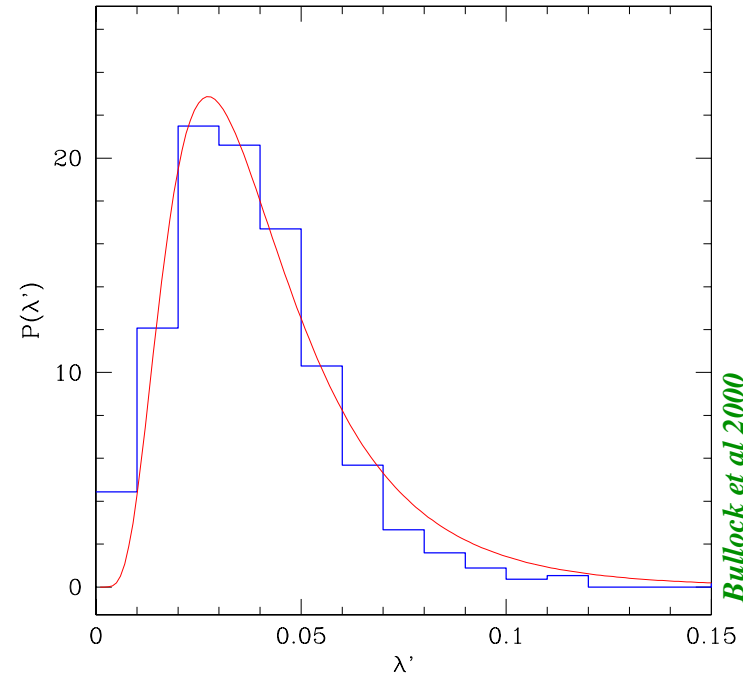
$$R_{\text{d}} \propto \lambda R_{\text{vir}}$$

Thus  $\lambda^{-1}$  reflects roughly the collapse factor of the baryons

# Angular Momentum & Dark Matter



$$\lambda = \frac{J |E|^{1/2}}{GM^{5/2}} \propto \frac{j_{\text{tot}}}{R_{\text{vir}} V_{\text{vir}}}$$



**Cold Dark Matter haloes have a log-normal distribution of halo spin parameters...**

**Cold Dark Matter haloes have a Universal Angular Momentum distribution...**

*Bullock et al 2000*

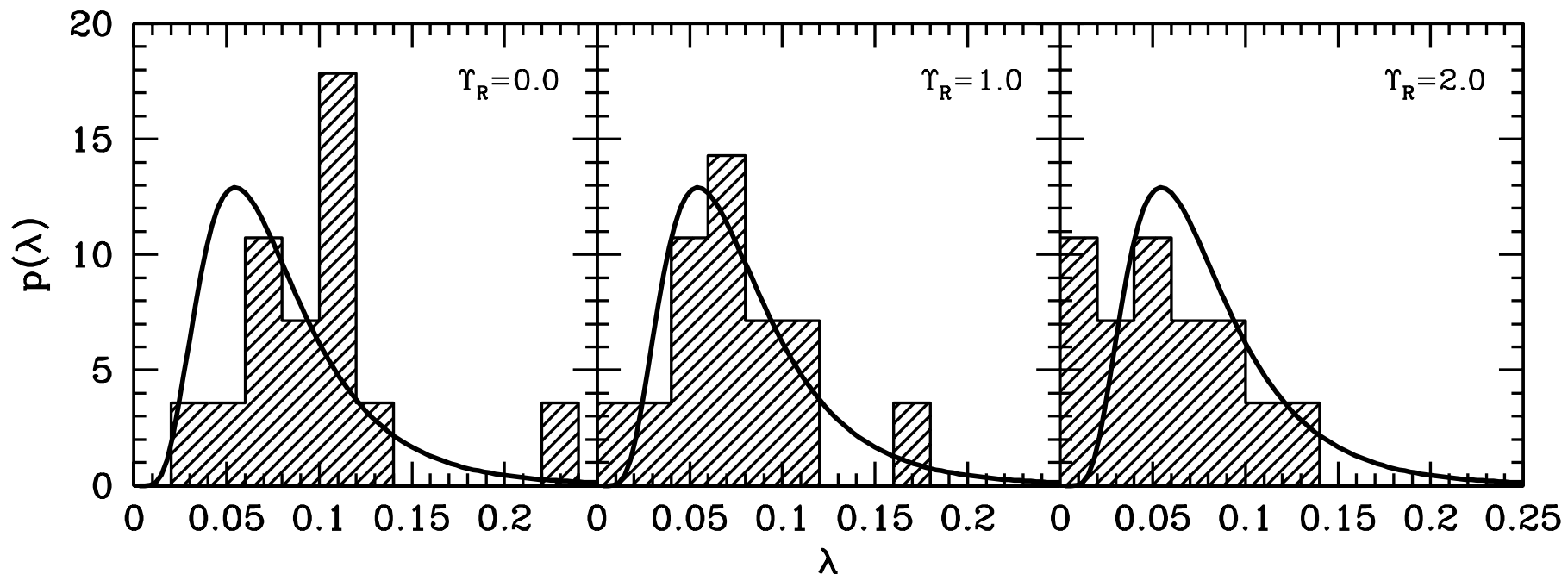


# Testing the Paradigm

**TEST:** Compare angular momentum distributions of disks and CDM haloes. If standard paradigm is correct, these should be identical.

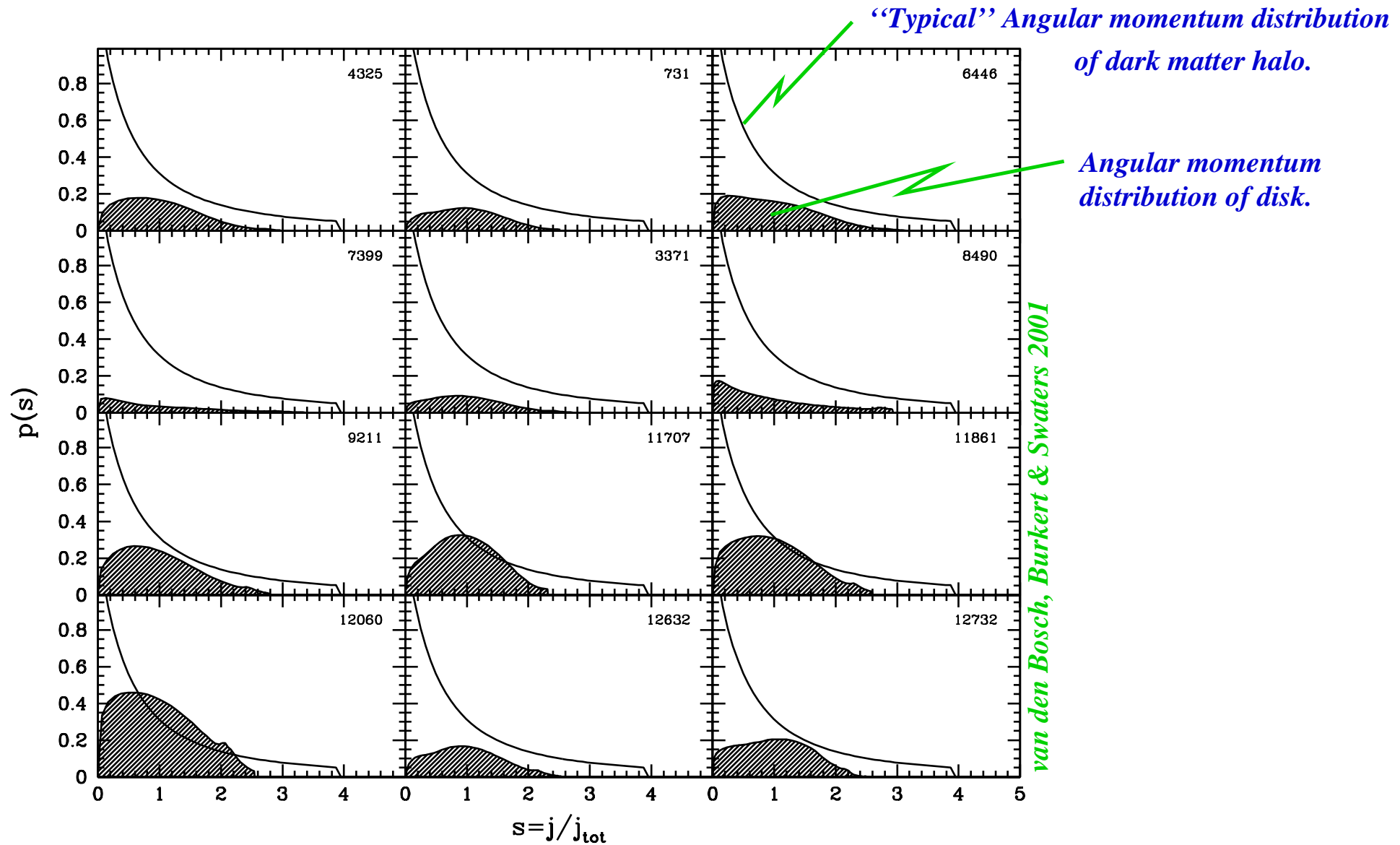
**DATA:** 14 dwarf galaxies whose rotation curves are in good agreement with CDM haloes (van den Bosch & Swaters 2001).

$$M(< j) = 2\pi \int_0^{R_j} \Sigma_{\text{disk}}(R) R dR \quad \text{with} \quad j = R_j V_{\text{circ}}(R_j)$$



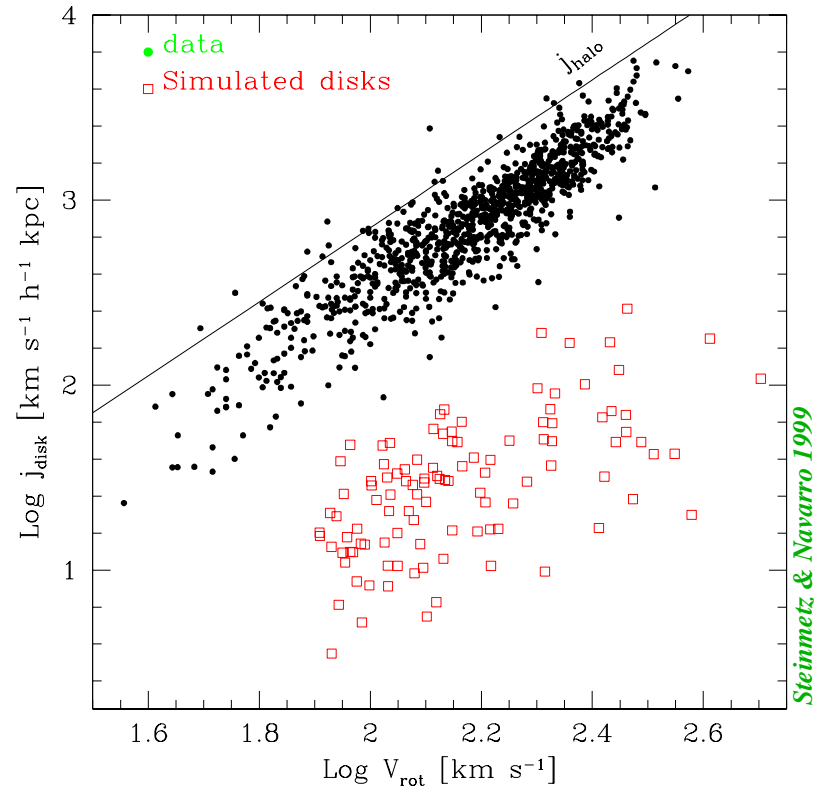
Disks and CDM haloes have same  $p(\lambda)$ .

# Angular Momentum Distributions



**Disks (of dwarf galaxies) have angular momentum distributions that are clearly different than those of cold dark matter haloes!!!**

# The Angular Momentum Catastrophe



- Disks that form in simulations are an order of magnitude too small
- Gas loses large fraction of specific angular momentum to dark matter
- Hierarchical formation & “over-cooling” are to blame

White & Navarro 1993; Navarro & Steinmetz 1999

## SOLUTIONS

- (1) Prevent Cooling: feedback, preheating (Weil et al. 1998; Sommer-Larsen et al. 1999)
- (2) Modify Power Spectrum: WDM, BSI, RSI... (Sommer-Larsen & Dolgov 2001)

# Disk Scaling Relations I

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## Observations:

- $M_{\text{disk}} = 3.1 \times 10^9 h^{-2} M_{\odot} \left( \frac{V_{\text{rot}}}{100 \text{ km s}^{-1}} \right)^{3.5}$  (Bell & de Jong 2001)
  - $j_{\text{disk}} = 3.3 \times 10^2 \text{ km s}^{-1} h^{-1} \text{ kpc} \left( \frac{V_{\text{rot}}}{100 \text{ km s}^{-1}} \right)^2$
- 

## Theoretical Predictions:

- $M_{\text{disk}} = f_m \left( \frac{\Omega_b}{\Omega_m} \right) M_{\text{vir}}$
  - $j_{\text{disk}} = \sqrt{2} f_j \lambda' R_{\text{vir}} V_{\text{vir}}$
  - $M_{\text{vir}} \propto V_{\text{vir}}^3 \quad R_{\text{vir}} \propto V_{\text{vir}}$
- 

**Example:**  $\Omega_m = 0.3 \quad h = 0.7 \quad \lambda = 0.04 \quad V_{\text{rot}}/V_{\text{vir}} = 1.4$

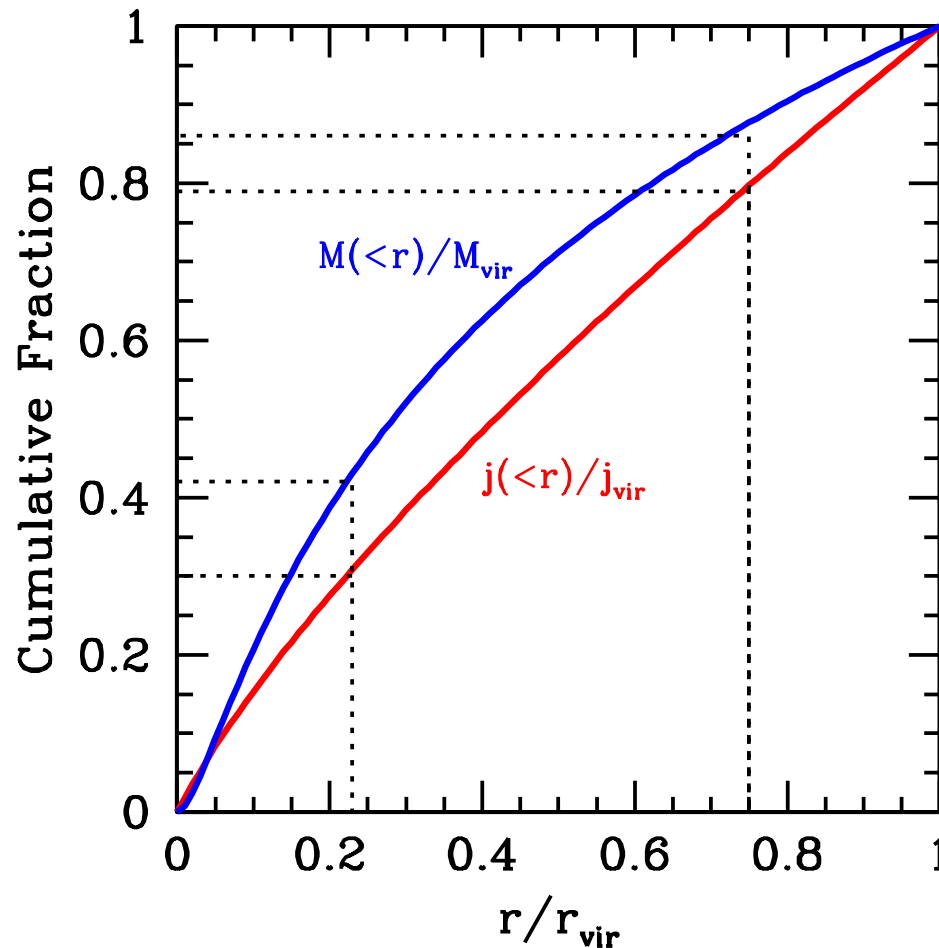
$$f_m = 0.42 \left( \frac{V_{\text{vir}}}{200 \text{ km s}^{-1}} \right)^{1/2} \quad f_j = 0.79$$

(see also Navarro & Steinmetz 2000)

# Disk Scaling Relations II

$$f_m = 0.42 \left( \frac{V_{\text{vir}}}{200 \text{ km s}^{-1}} \right)^{1/2}$$

$$f_j = 0.79 \left( \frac{\lambda'}{0.04} \right)^{-1}$$



- $M(r)$  from NFW profile with  $c = 20$  (Navarro, Frenk & White 1997)
- $j(r) \propto r$  from  $N$ -body simulations (Bullock et al. 2001)

# Gas in Proto-Galaxies

**TEST:** Do the gas and dark matter have the same angular momentum distributions before cooling? **gas can shock...**

**TOOL:** Numerical N-body/SPH simulation of  $\Lambda$ CDM cosmology with **non-radiative** gas; Analyze individual haloes.

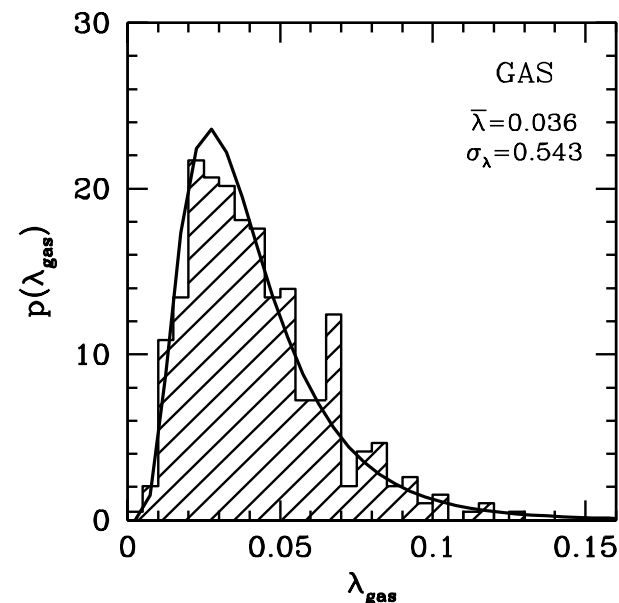
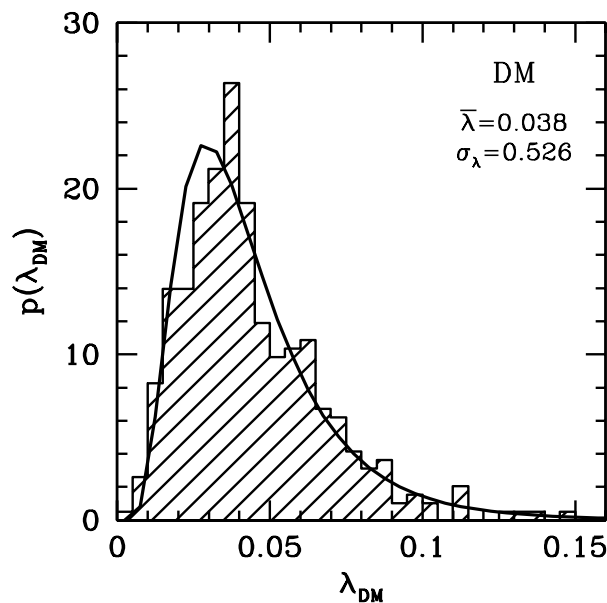
Gas and dark matter are fluids for which  $\vec{v} = \vec{u} + \vec{w}$

$\vec{v}$  = microscopic velocity (DM particles in simulation)

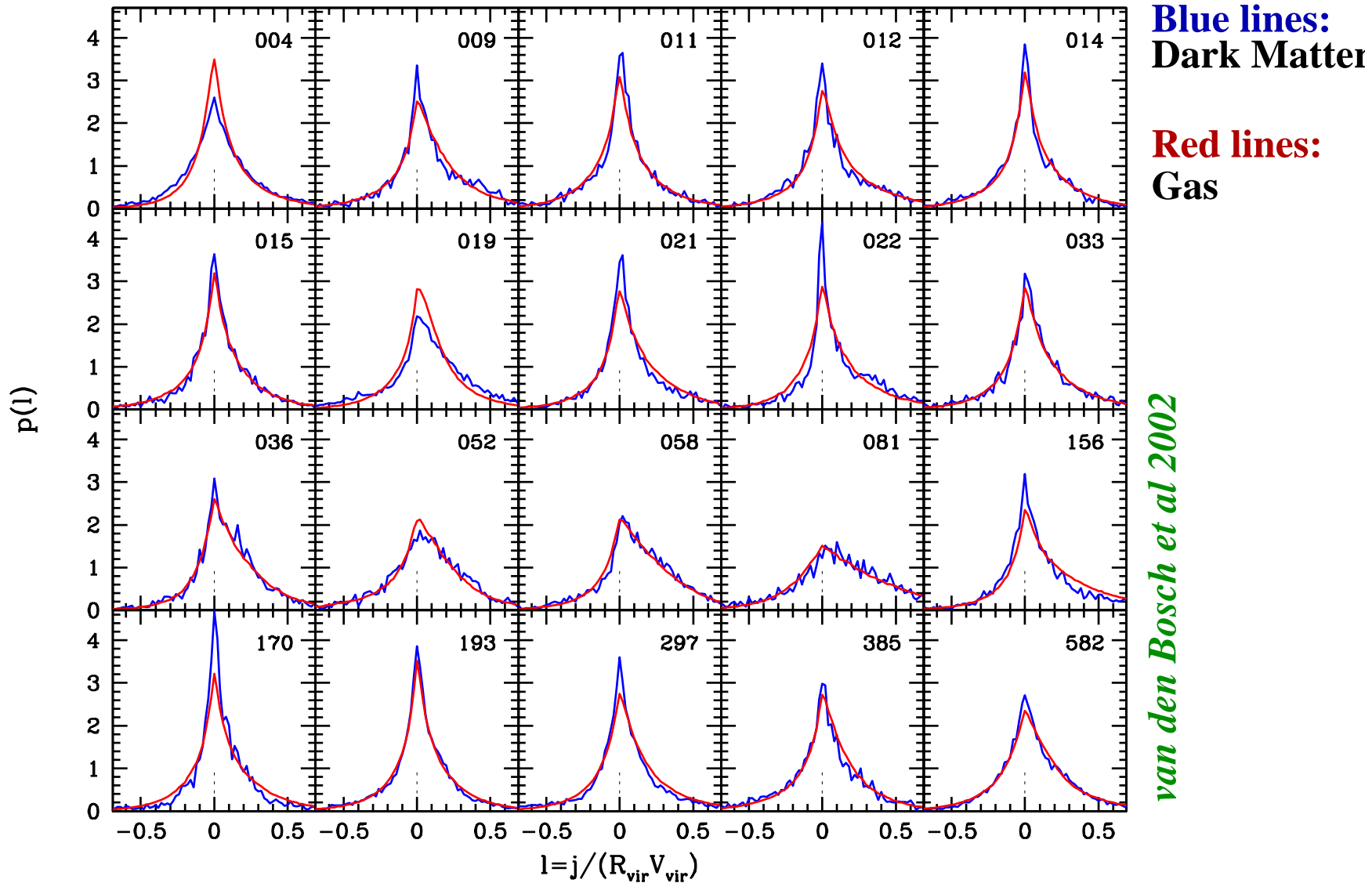
$\vec{u}$  = streaming motions (SPH particles in simulation)

$\vec{w}$  = random motions (related to temperature of gas particles)

**THERMAL BROADENING:** Add random velocities to SPH particles with dispersion given by particle's temperature.

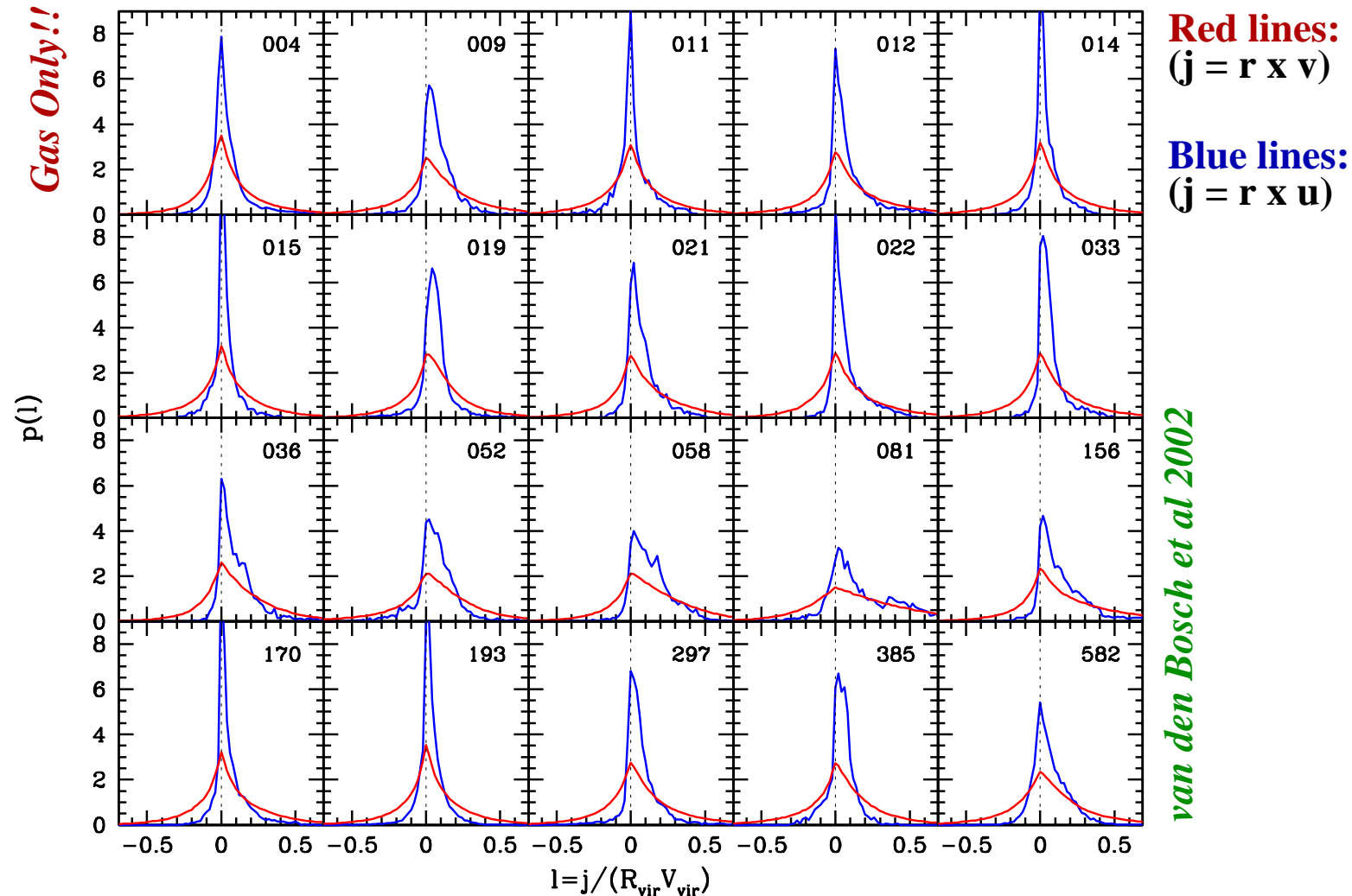


# A more detailed comparison...



- AMDs of gas and dark matter are virtually **identical**
- Virialization shocks do **not** affect AMD of gas
- Apparently, the standard assumption is **correct**

# and what it means for disk formation



**Between 10 & 40 percent of gas has negative specific angular momentum!!!**

**A new problem?**

● **Disks do not contain counter-rotating material...**

**Bulge Formation?**

● **About 40% of haloes forms Early-Type galaxies**

● **Virtually no bulge-less systems can form**



# Cooking Up a Disk Galaxy

- Mass Accretion History (MAH):  $M_{\text{vir}}(r, \phi, \theta, t | M_0)$
- Angular Momentum Distribution (AMD):  $J_{\text{vir}}(r, \phi, \theta, t | \lambda_0)$
- Cooling model:  $t_{\text{form}} = \max[t_{\text{cool}}(Z/Z_{\odot}), t_{\text{ff}}]$

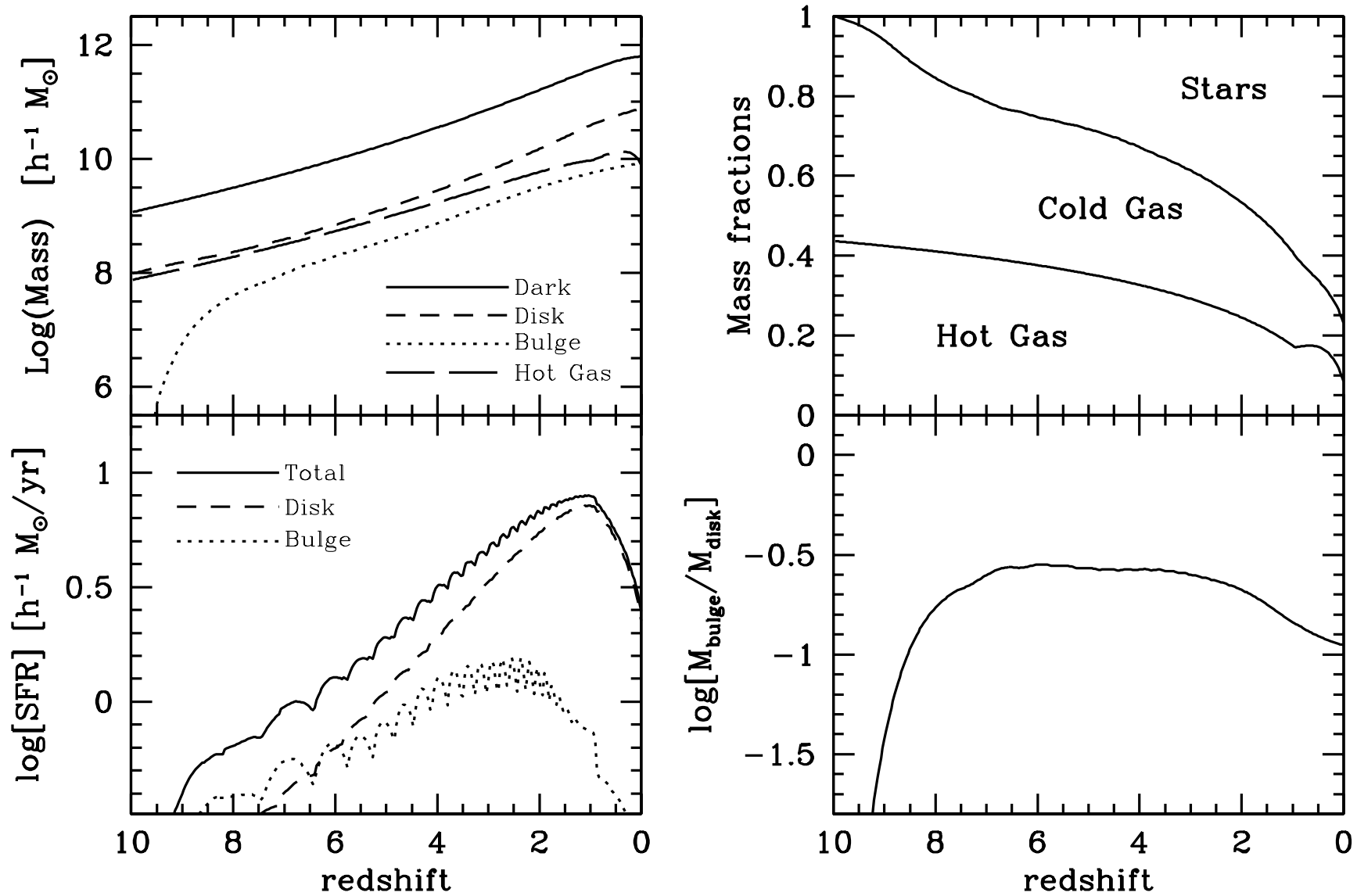
After a time  $t_{\text{form}}$  mass element  $m(r, \phi, \theta, t)$  ends up in the **disk** at a radius  $R$  given by  $j(r, \phi, \theta, t) = R \cdot V_{\text{circ}}(R, t + t_{\text{form}})$ .

$$\text{MAH} + \text{AMD} \rightarrow j(r, \phi, \theta, t) \rightarrow M_{\text{disk}}(R, t)$$

Additional model ingredients:

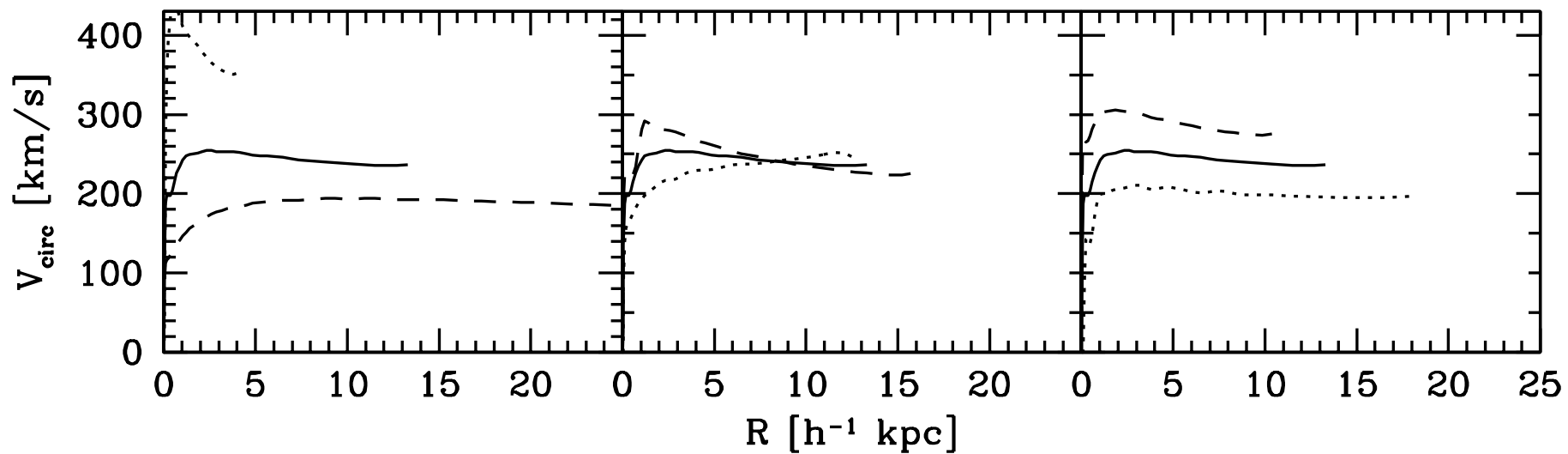
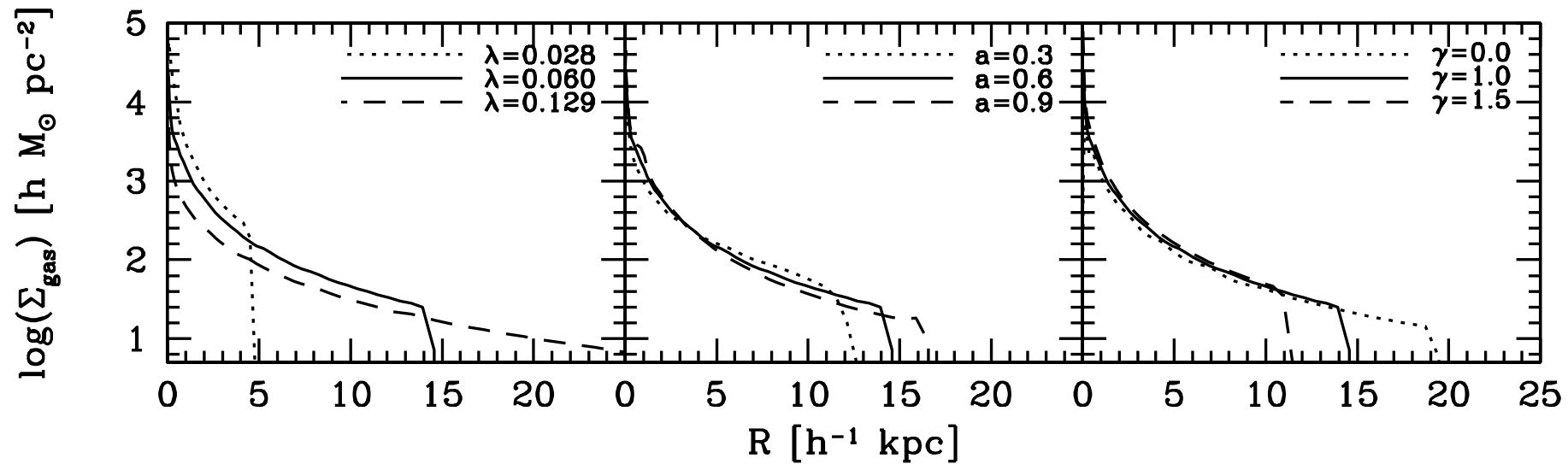
- Bulge Formation: **Disk stability...**
- Star Formation: **SFR, SF thresholds,...**
- Feedback: **galactic winds, heating,...**
- Stellar Population Models: **IMF, stellar remnants,...**
- Chemical Evolution: **stellar yields, mixing...**

# An Example

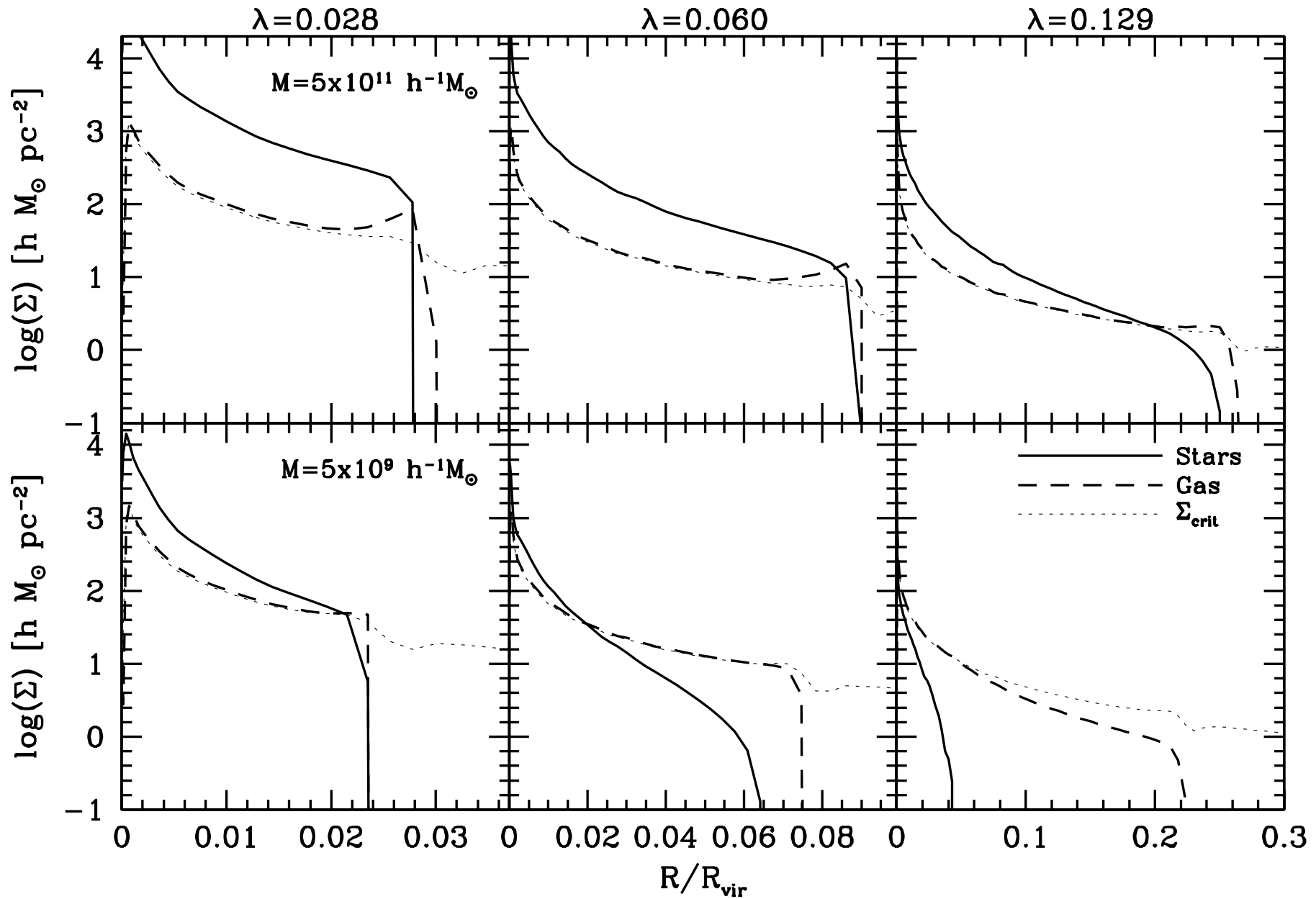


$$M_{\text{vir}} = 5 \times 10^{11} h^{-1} M_{\odot}, \lambda = 0.06, \text{ average MAH}$$

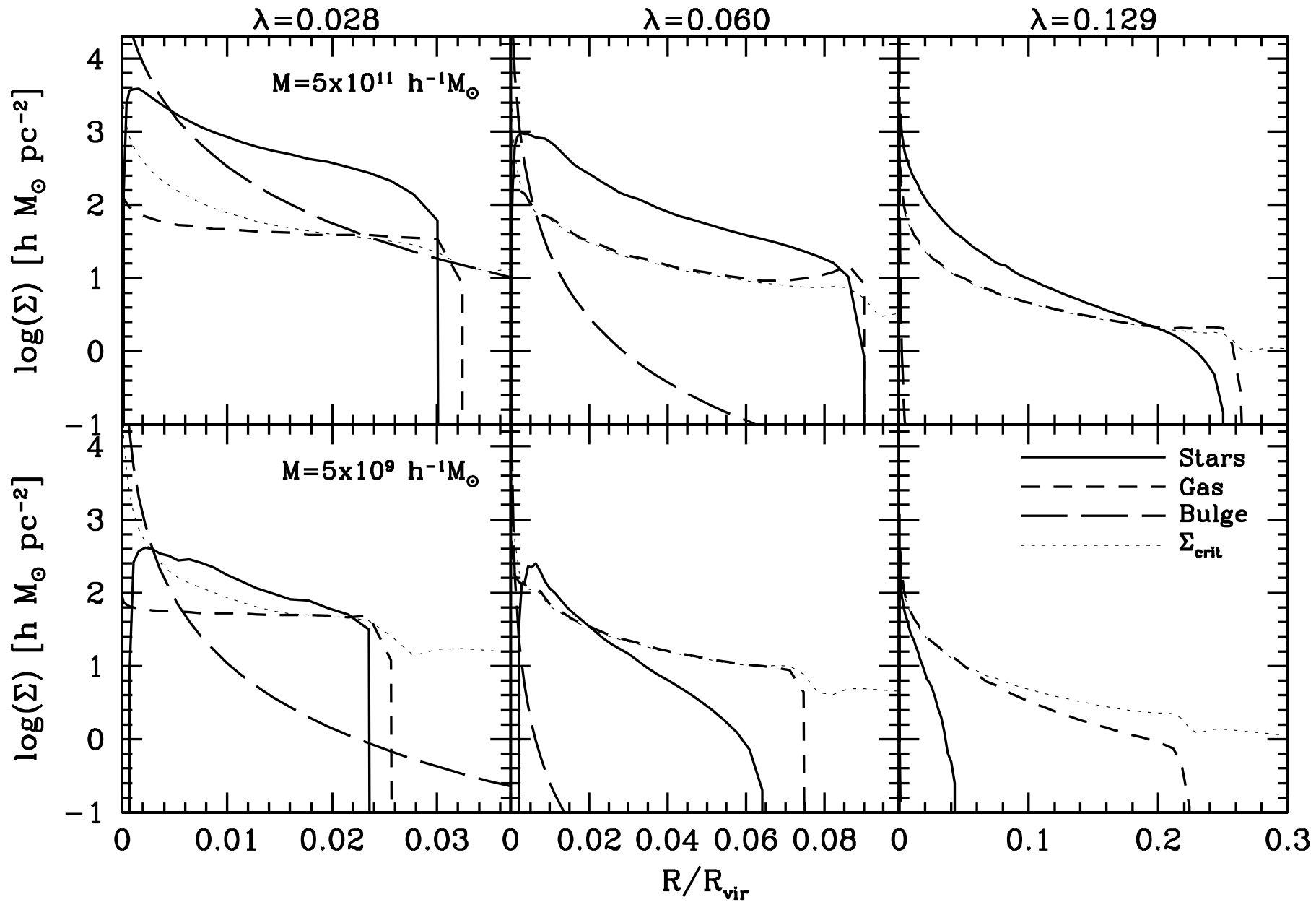
# Cooling Only



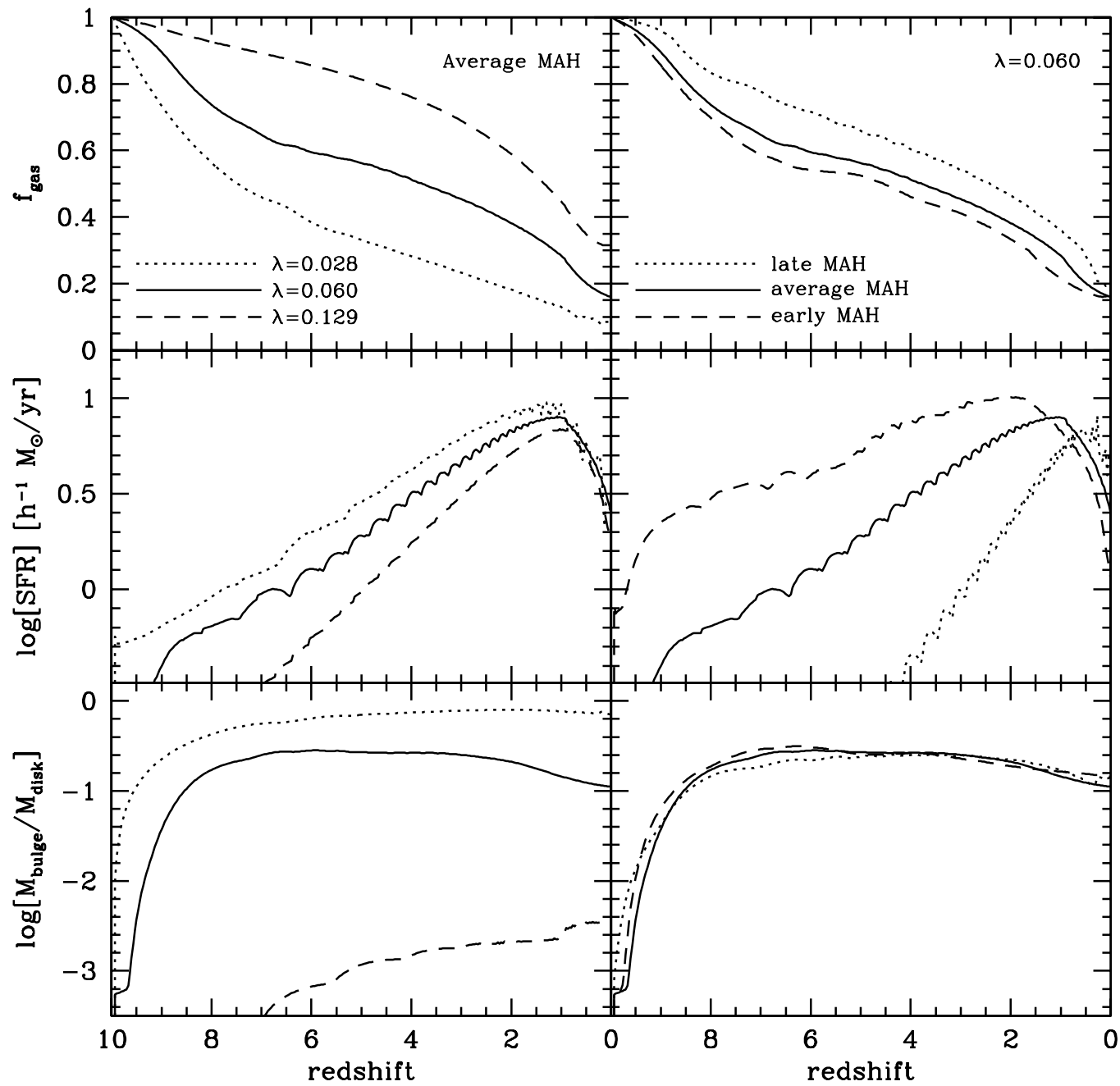
# Cooling + Starformation



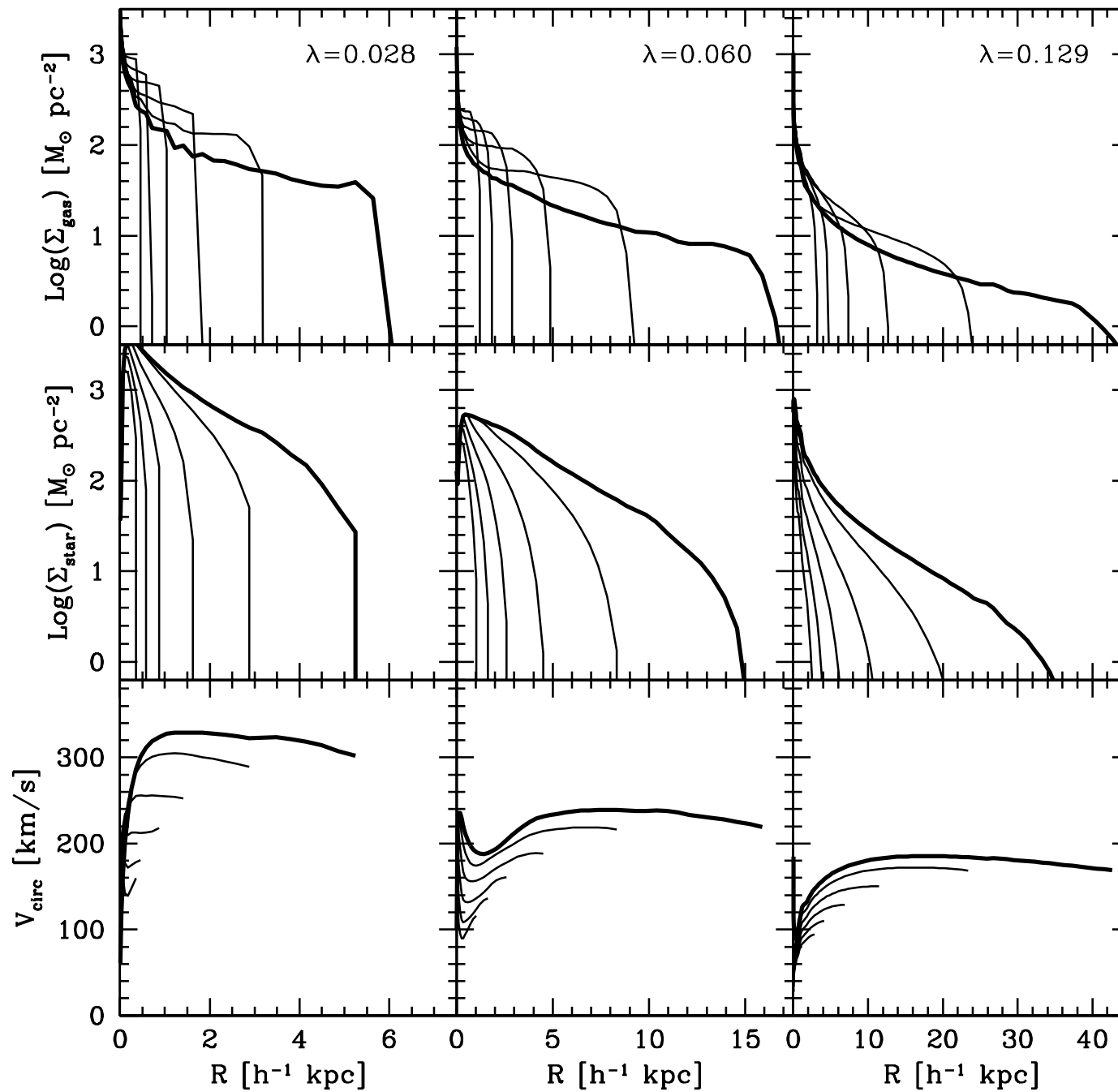
# With Bulge Formation



# Parameter Dependencies



# The Inside-Out Formation of Disks



# Halo Virial Properties

Define the **virial radius**,  $r_{\text{vir}}$ , as the radius inside of which the average density is equal to  $\Delta_{\text{vir}} \rho_{\text{crit}}$

$$\bar{\rho} = \frac{3 M_{\text{vir}}}{4 \pi r_{\text{vir}}^3} = \Delta_{\text{vir}} \frac{3 H^2(z)}{8 \pi G}$$

For a  **$\Lambda$ CDM** concordance cosmology with  $(\Omega_m = 0.3, \Omega_\Lambda = 0.7)$  at redshift  $z = 0$  one has that  $\Delta_{\text{crit}} = 101$  (Bryan & Norman 1998)

Substituting some characteristic values then yields

$$r_{\text{vir}} = 282 h^{-1} \text{ kpc} \left( \frac{V_{\text{vir}}}{200 \text{ km s}^{-1}} \right) \left( \frac{\Delta_{\text{vir}}}{101} \right)^{-1/2} \left( \frac{H(z)}{H_0} \right)^{-1}$$

and using the definition of **virial velocity**,  $V_{\text{vir}} = \sqrt{G M_{\text{vir}} / r_{\text{vir}}}$  one obtains that

$$M_{\text{vir}} = 2.7 \times 10^{12} h^{-1} M_\odot \left( \frac{V_{\text{vir}}}{200 \text{ km s}^{-1}} \right)^3 \left( \frac{\Delta_{\text{vir}}}{101} \right)^{-1/2} \left( \frac{H(z)}{H_0} \right)^{-1}$$



# Disk Scale Lengths

Consider a disk with mass  $M_d$  that formed inside a halo of mass  $M_{\text{vir}}$ . If the disk has an **exponential mass density** then

$$\Sigma(R) = \Sigma_0 e^{-R/R_d} \quad \text{with} \quad M_d = 2 \pi \Sigma_0 R_d^2$$

The **angular momentum** of the disk is given by

$$\begin{aligned} J_d &= 2 \pi \int_0^\infty \Sigma(R) R V_c(R) R dR \\ &= 2 \pi \Sigma_0 R_d^3 V_{\text{vir}} \int_0^\infty x^2 e^{-x} \frac{V_c(x R_d)}{V_{\text{vir}}} dx \\ &= M_d R_d V_{\text{vir}} f_R \end{aligned}$$

Here  $f_R$  is the **disk-mass-weighted** ratio of the **circular** velocity  $V_c(R)$  to the **virial** velocity  $V_{\text{vir}}$ . For a **singular isothermal sphere**  $f_R = 1$

Let **specific angular momentum** of disk be a fraction  $f_j$  of that of halo:

$$j_d = R_d V_{\text{vir}} f_R = f_j \sqrt{2} \lambda' R_{\text{vir}} V_{\text{vir}}$$

and thus:  $R_d = \sqrt{2} \left( \frac{f_j}{f_R} \right) \lambda R_{\text{vir}}$

(Mo, Mao & White 1998)

Substituting typical values yields:

$$R_d = 8 h^{-1} \text{ kpc} \left( \frac{f_j}{f_R} \right) \left( \frac{\lambda}{0.04} \right) \left( \frac{V_{\text{vir}}}{200 \text{ km s}^{-1}} \right) \left( \frac{\Delta_{\text{vir}}}{101} \right)^{-1/2} \left( \frac{H(z)}{H_0} \right)^{-1}$$

# Disk Scale Lengths II

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Value of  $f_R$  depends on  $M_{\text{disk}}/M_{\text{vir}}$ ,  $\lambda$ , and halo concentration  $c$ :

**NFW halo:**

$$\frac{V_c(r)}{V_{\text{vir}}} = \frac{1}{x} \frac{\ln(1+cx) - cx/(1+cx)}{\ln(1+c) - c/(1+c)}$$

with  $x = r/r_{\text{vir}}$ . The circular velocity  $V_c(r)$  reaches a maximum  $V_{\text{max}}$  at  $r_{\text{max}} = 2.163r_s = 2.163r_{\text{vir}}/c$ .

$$\frac{V_{\text{max}}}{V_{\text{vir}}} \simeq 0.465 \sqrt{\frac{c}{\ln(1+c) - c/(1+c)}}$$

which is larger than unity for all realistic values of  $c$

---

**Disk contribution** : disk adds mass, therefore increases  $V_c(r)$  and thus  $f_R$ .

**Adiabatic Contraction:** when disk formation is slow compared to **dynamical time** the halo responds **adiabatically** to the formation of the disk; **actions** are **adiabatic invariants**

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Adiabatic contraction is typically taken into account by considering the approximate adiabatic invariant  $r M(r)$ ; which is only exact for circular orbits in a spherical potential. Nevertheless, tests have shown this approximation to be sufficiently accurate (Barnes & White 1984; Blumenthal et al. 1986; Jesseit, Naab & Burkert 2000)

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# Global Properties of Disk Galaxies

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Main global parameters of a disk galaxies are:  $M_d, R_d, V_{\text{rot}}$

These parameters reveal the following characteristics:

- **Flat Rotation Curves:**  $V_{\text{rot}}(R) = V_{\text{rot}}$  (e.g., Rubin & Ford 1970)
- **Exponential Disks:**  $\Sigma(R) = \Sigma_0 e^{-R/R_d}$  (e.g., Freeman 1970)
- **(Baryonic) Tully-Fisher relation:**  $M_d \propto V_{\text{rot}}^{3.5}$  (Bell & de Jong 2001)
- **Size–Velocity relation:**  $R_d \propto V_{\text{rot}}$  (e.g., Courteau 1997)

# Disk Scaling Relations I

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## Observations:

- $M_{\text{disk}} = 3.1 \times 10^9 h^{-2} M_{\odot} \left( \frac{V_{\text{rot}}}{100 \text{ km s}^{-1}} \right)^{3.5}$  (Bell & de Jong 2001)
  - $\dot{j}_{\text{disk}} = 3.3 \times 10^2 \text{ km s}^{-1} h^{-1} \text{ kpc} \left( \frac{V_{\text{rot}}}{100 \text{ km s}^{-1}} \right)^2$
- 

## Theoretical Predictions:

- $M_{\text{disk}} = f_m \left( \frac{\Omega_b}{\Omega_m} \right) M_{\text{vir}}$
  - $\dot{j}_{\text{disk}} = \sqrt{2} f_j \lambda' R_{\text{vir}} V_{\text{vir}}$
  - $M_{\text{vir}} = 2.4 \times 10^{11} h^{-1} M_{\odot} \left( \frac{V_{\text{vir}}}{100 \text{ km s}^{-1}} \right)^3 \left( \frac{\Delta_{\text{vir}}}{200} \right)^{-0.5}$
  - $R_{\text{vir}} = 100 h^{-1} \text{ kpc} \left( \frac{V_{\text{vir}}}{100 \text{ km s}^{-1}} \right) \left( \frac{\Delta_{\text{vir}}}{200} \right)^{-0.5}$
- 

## Implications:

- $f_m = 0.65 \Omega_m h \left( \frac{V_{\text{rot}}}{V_{\text{vir}}} \right)^{3.5} \left( \frac{V_{\text{vir}}}{100 \text{ km s}^{-1}} \right)^{0.5} \left( \frac{\Delta_{\text{vir}}}{200} \right)^{0.5}$
  - $f_j = 0.57 \left( \frac{\lambda'}{0.04} \right)^{-1} \left( \frac{V_{\text{rot}}}{V_{\text{vir}}} \right)^2 \left( \frac{\Delta_{\text{vir}}}{200} \right)^{0.5}$
-

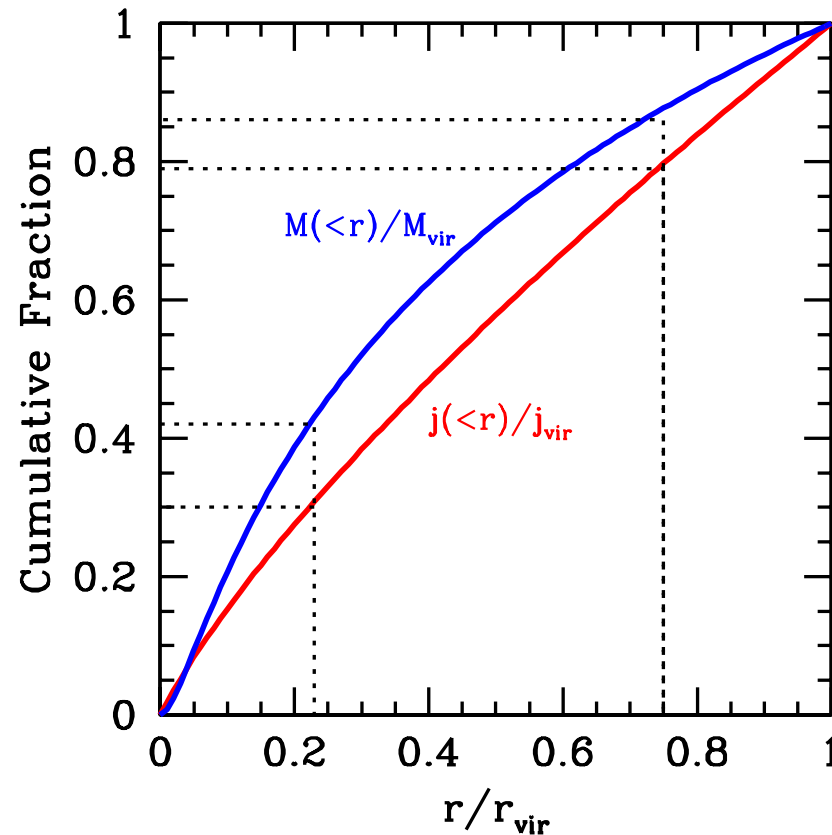
# Disk Scaling Relations II

$\Lambda$ CDM:  $\Omega_m = 0.3$   $h = 0.7$   $\Delta_{\text{vir}} = 101$   $V_{\text{rot}}/V_{\text{vir}} = 1.4$

$$f_m = 0.30 \left( \frac{V_{\text{vir}}}{100 \text{ km s}^{-1}} \right)^{1/2}$$

$$f_j = 0.79 \left( \frac{\lambda'}{0.04} \right)^{-1}$$

(see also Navarro & Steinmetz 2000)

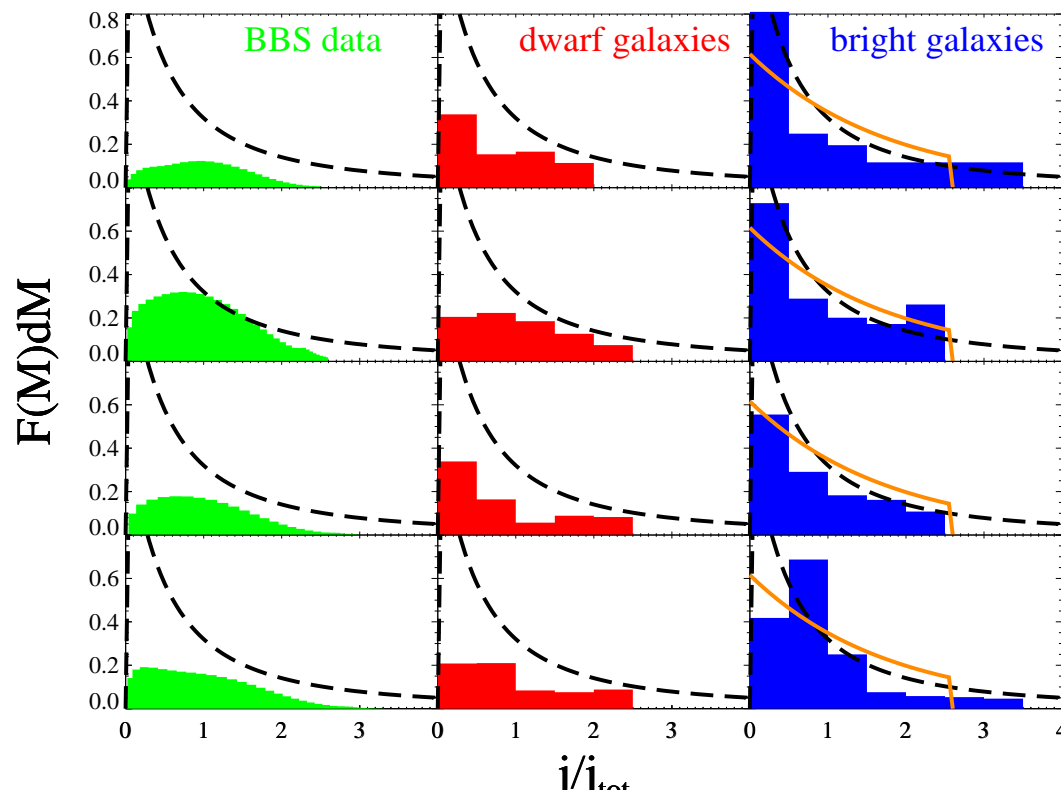


- $M(r)$  from NFW profile with  $c = 20$  (Navarro, Frenk & White 1997)
- $j(r) \propto r$  from  $N$ -body simulations (Bullock et al. 2001)

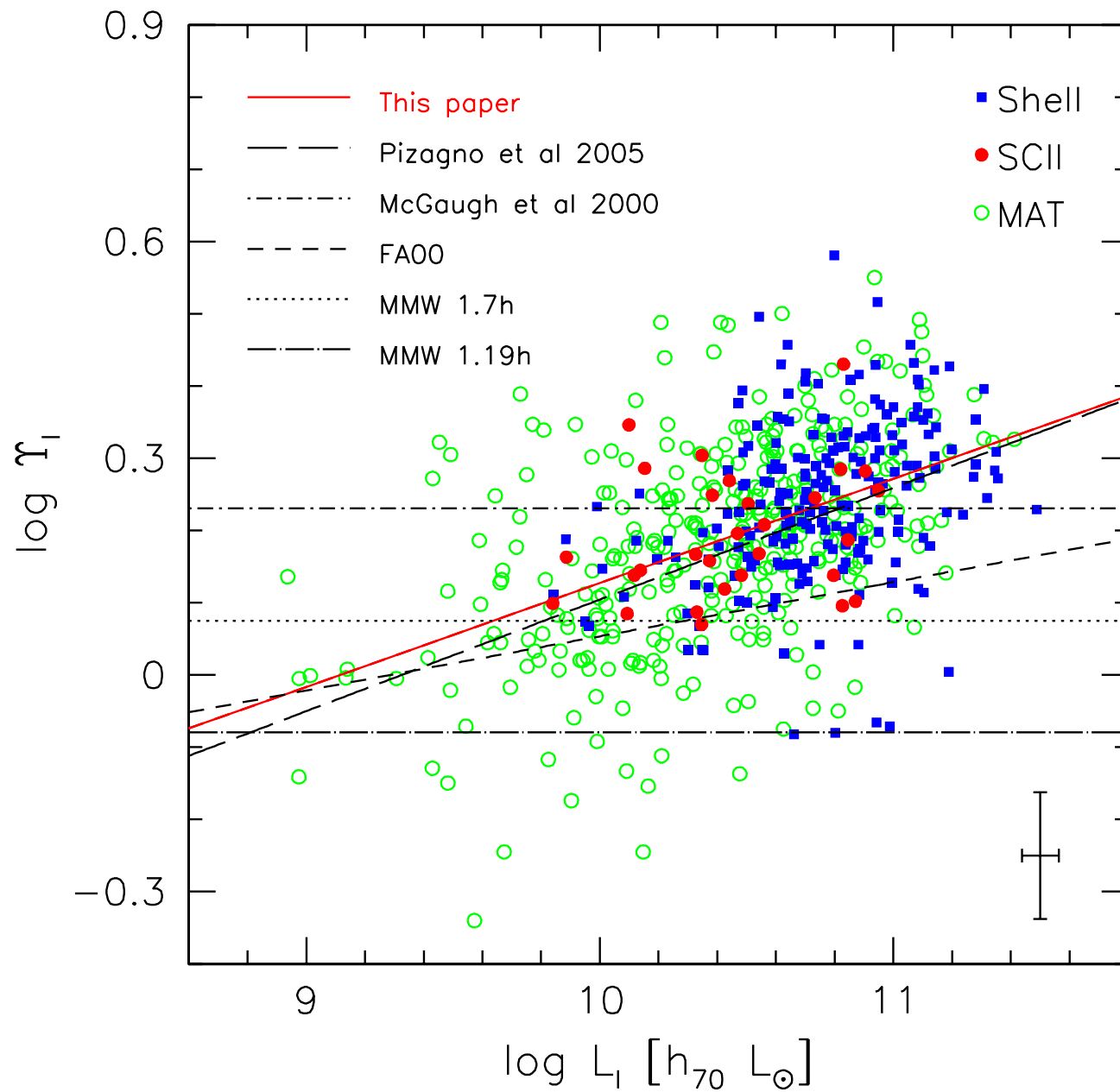
# The Maller & Dekel Solution

- Angular momentum originates from **satellite accretion** rather than from **cosmological torques** (Vitvitska et al 2002; Maller, Dekel & Somerville 2002)
- Most of the **final** angular momentum originates from the **last major merger** (Maller & Dekel 2002)
- Most of the **low** angular momentum material originates from the **many, uncorrelated, minor accretion events** (Maller & Dekel 2002)

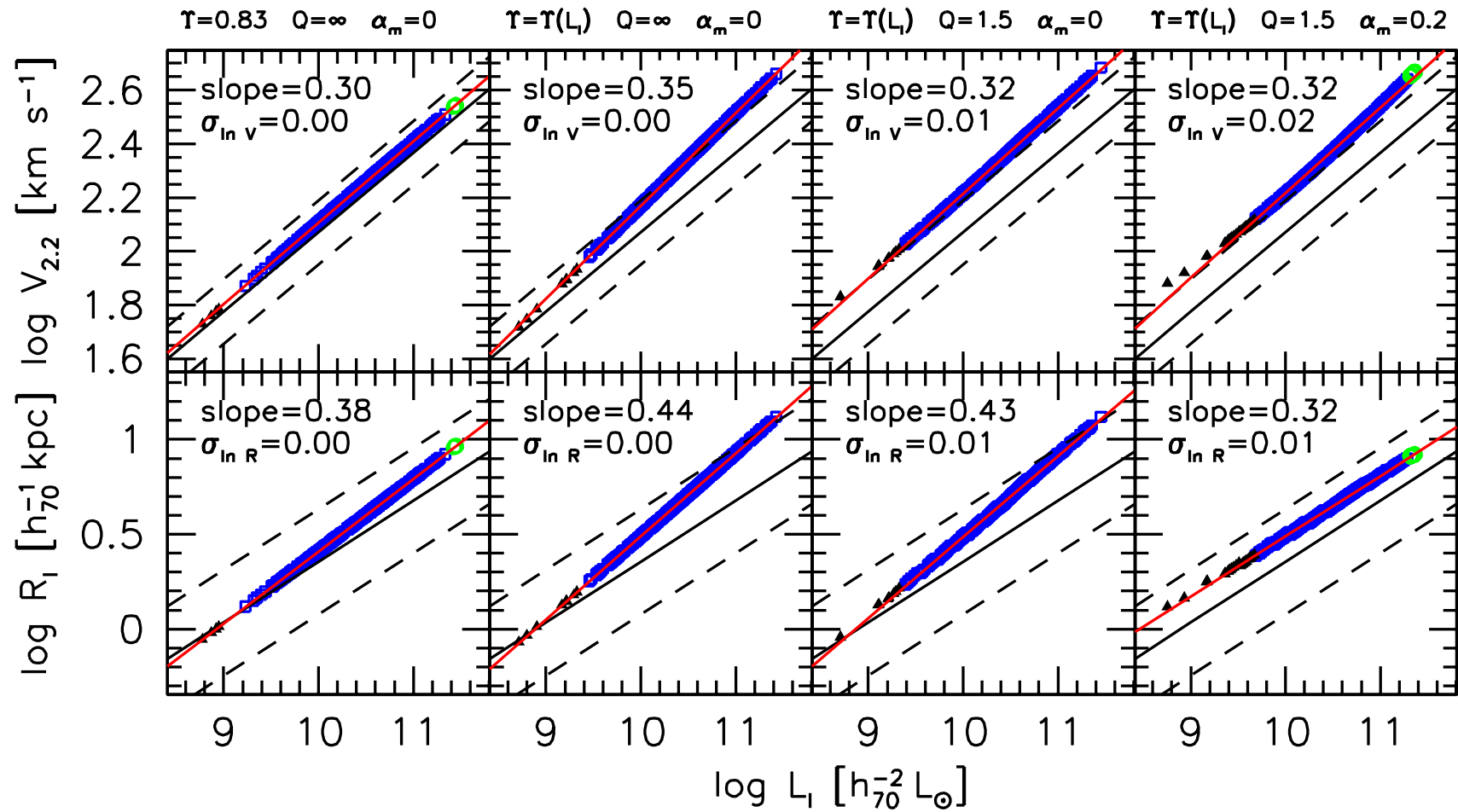
**SOLUTION: remove gas from low mass progenitors**



# Stellar Mass-to-Light Ratios

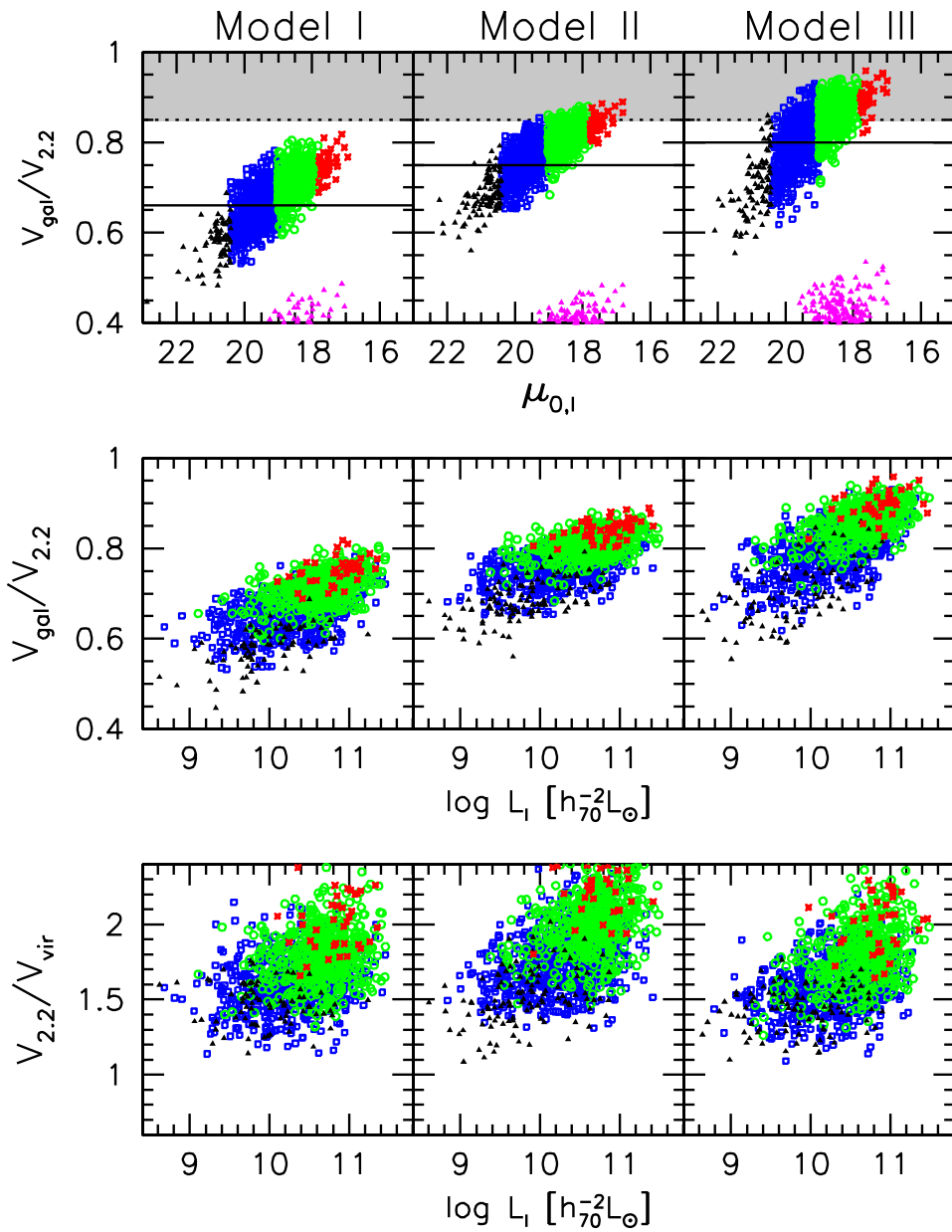


# Slopes & Zero-points





# Standard Models II



# Scatter

